

**BID REFERENCE NO: TEQIP-III/UK/utuu/15**  
**(Revised after Pre-bid Meeting)**

**NATIONAL COMPETITIVE BIDDING (NCB)**  
**FOR**  
**PROCUREMENT OF UNIVERSITY MANAGAMENT SOFTWARE (MIS)**

**UNDER**

**TECHNICAL EDUCATION QUALITY IMPROVEMENT PROGRAMME PHASE III**  
**(TEQIP III)**

**(A WORLD BANK ASSISTED PROJECT)**  
**CREDIT NO. - CR. 5874-0 IN**



**UTTARAKHAND TECHNICAL UNIVERSITY, UTTARAKHAND,**  
**SUDHOWALA PO CHANDANWARI, DEHRADUN**

**Contact No. 01352774067**  
**Email ID: teqip@uktech.ac.in**

***Last date and time for Receipt of Bids (Revised):***

***Date: 17-December-2019 Time: 12:00 hrs.***

***Time and Date of Opening of Bids (Revised):***

***Date: 17-December 2019 Time: 01:00 hrs.***

**NATIONAL COMPETITIVE BIDDING FOR**  
**THE SUPPLY OF UTU/GOODS/EQUIPMENTS/UMS software**

**Bid Reference:** TEQIP-III/UK/utuu/15

**Date of Commencement of Sale of Bidding Document:** **Date:** 21-Sep-2019**Time:**10:00 hrs.

**Last date for Sale of Bidding Document (revised)** **Date:** 17-December-2019 **Time:**10:00 hrs.

**Bid Security Amount (In INR):** Rs: 6,50,000.00

**Pre-Bid Meeting Date and Time:** **Date:** 12-Oct-2019 **Time:**13:00

**Last date and time for Receipt of Bids (Revised):** **Date:** 17-December-2019 **Time:** 12:00 hrs.

**Time and Date of Opening of Bids (Revised):** **Date:** 17-December-2019 **Time:** 01:00 hrs.

**Place of Opening of Bids:** TEQIP-III University Cell, Uttarakhand Technical University, Dehradun

**Address for Communication:** TEQIP-III University Cell, Uttarakhand Technical University, Sudhowla, Dehradun, Uttarakhand-248007

## **SECTION I: INVITATION FOR BIDS (IFB)**

## **SECTION I. INVITATION FOR BIDS (IFB)**

**Date** : 20-Sep-2019  
**Credit No.** : Cr. 5874-0 IN  
**IFB No.** : TEQIP-III/UK/utuu/15

1. The Government of India has received a Credit (Cr. 5874-0 IN) from the International Development Association in various currencies towards the cost of project Technical Education Quality Improvement Program [TEQIP]-Phase III (TEQIP III) and it is intended that part of the proceeds of this credit will be applied to eligible payments under the contracts for which this Invitation for Bids is issued.
2. **Uttarakhand Technical University, Uttarakhand** now invites sealed bids from eligible bidders for supply of **UTU/GOODS/EQUIPMENTS/UMS software** listed below:

<b>Sr. No</b>	<b>Item Name</b>	<b>Quantity</b>
1	UMS software for student, staff and faculty data of on campus module	01 (including 3 years onsite/remote support and maintenance on 24x7 basis)

3. Interested eligible Bidders may obtain further information from and inspect the bidding documents at the office of **TEQIP-III, University Cell, Uttarakhand Technical University, Uttarakhand, Sudhowala PO Chandanwari, Dehradun, 248007 India**
4. A complete set of bidding documents may be purchased by any interested eligible bidder on the submission of a written application to the above office and upon payment of a non-refundable fee as indicated below in the form of a Demand Draft in favour of **Uttarakhand Technical University TDS**, payable at **Dehradun**.
5. The provisions in the Instructions to Bidders and in the General Conditions of contract (GCC) are based on the provisions of the World Bank Standard Bidding Document - Procurement of Goods.
6. The bidding document may be obtained from the office of TEQIP-III, University Cell, Uttarakhand Technical University, Uttarakhand during office hours namely,  
From Bidding Document Sale Start Time: **10:00hrs** to Bidding Document Sale End Time: **12:00hrs**, on all working days either in person or by post.
  - a) **Price of Bidding Document (non-refundable):** Rs. 2000
  - b) **Postal Charges, Inland :** NA
  - c) **Postal Charges, Overseas :** NA
  - d) **Date of Commencement of Sale of Bidding Document :** Date: 21-Sep-2019  
Time: 10:00 Hrs
  - e) **Bid Security Amount (In INR):** Rs: :6,50,000.00
  - f) **Pre-Bid Meeting Date and Time:** Date: 12-Oct-2019 Time: 13:00

- g) **Last date for Sale of Bidding Document (Revised)** Date: *17-December-2019* Time: 10:00 Hrs
- h) **Last Date and Time for Receipt of Bids(Revised)** Date: *17-December-2019* Time: 12:00 Hrs
- i) **Time and Date of Opening of Bids (Revised)** Date: *17-December-2019* Time: 01:00 PM Hrs
- j) **Place of Opening of Bid :** TEQIP-III University Cell, Uttarakhand Technical University,  
Dehradun, 248007
- k) **Address for Communication :** TEQIP-III University Cell, Uttarakhand Technical  
University, Sudhowla, Dehradun, Uttarakhand-248007

7. All bids must be accompanied by non-refundable tender fee in case of the tender document has been downloaded from website and a bid security as specified in the bid document and must be delivered to the above office at the date and time indicated above.
8. Bids will be opened in the presence of Bidders' representatives who choose to attend on the specified date and time.
9. In the event of the date specified for bid receipt and opening being declared as a closed holiday for purchaser's office, the due date for submission of bids and opening of bids will be the following working day at the appointed times
10. Pre-bid queries/ clarifications preferably be sent in writing via email and hard copy to the University prior two days Before the schedule date of such meeting so as to examine the queries thoroughly and address the bidders accordingly. Following format may be used for seeking clarifications.

Sl.no	Page No.	Clause No.	Description of Clause	Clarification of Query
1				
2				

## **SECTION II: INSTRUCTIONS TO BIDDER**

## SECTION II: INSTRUCTIONS TO BIDDERS

Clause No.	Topic	Page No.	Clause No	Topic	Page No.
	<b>A. Introduction</b>			<b>D. Submission of Bids</b>	
1.	Source of Funds	8	18.	Sealing and Marking of Bids	16
2.	Eligible Bidders	8	19.	Deadline for Submission of Bids	17
3.	Eligible Goods and Services	9	20.	Late Bids	17
4.	Cost of Bidding	9	21.	Modification and withdrawal of Bids	17
	<b>B. Bidding Documents</b>			<b>E. Opening and Evaluation of Bids</b>	
5.	Contents of Bidding Documents	9	22.	Opening of Bids by the Purchaser	18
6.	Clarification of Bidding Documents	10	23.	Clarification of Bids	19
7.	Amendment of Bidding Documents	10	24.	Preliminary Examination	19
	<b>C. Preparation of Bids</b>		25.	Conversion to Single Currency	20
			26.	Evaluation and Comparison of Bids	20
			27.	Domestic Preference	22
8.	Language of Bid	11	28.	Contacting the Purchaser	22
9.	Documents Comprising the Bid	11		<b>F. Award of Contract</b>	
10.	Bid Form	11			
11.	Bid Prices	11	29.	Post qualification	22
12.	Bid Currencies	12	30	Award Criteria	22
13.	Documents Establishing Bidder's Eligibility and Qualifications	12	31.	Purchaser's Right to Vary Quantities at Time of Award	22
14.	Documents Establishing Goods Eligibility and Conformity to Bid Documents	13	32.	Purchaser's Right to Vary Quantities at Time of Award	23
15.	Bid Security	14	33.	Notification of Award	23
16.	Period of Validity of Bids	15	34.	Signing of Contract	23
17.	Format and Signing of Bid	16	35.	Performance Security	23
			36.	Corrupt and Fraudulent Practices	24

## A. Introduction

### 1. Source of Funds

- 1.1 The Government of India has received a credit from the International Development Association (Herein-after called as Bank) in various currencies equivalent to USD 300 million towards the cost of Technical Education Quality Improvement Programme [TEQIP]-Phase III (TEQIP III) and intends to apply part of the proceeds of this credit to eligible payments under the contracts for which this invitation for Bid is issued.
- 1.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by The Bank in accordance with the terms and conditions of the Credit Agreement, and will be subject in all respects to the terms and conditions of that agreement. The Credit Agreement prohibits a withdrawal from the Credit account for the purpose of any payment to persons or entities, or for any import of goods, if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Credit Agreement or have any claim to the Credit proceeds.

### 2. Eligible Bidders

- 2.1 This Invitation for Bids is open to all suppliers from eligible source countries as defined in Guidelines: *Procurement under IDA Credits*, May 2004, revised October 2006 hereinafter referred as the *IDA Guidelines for Procurement*, except as provided hereinafter.
- 2.2 Bidders should not be associated, or have been associated in the past, directly or indirectly, with a firm or any of its affiliates which have been engaged by the Purchaser to provide consulting services for the preparation of the design, specifications, and other documents to be used for the procurement of the goods to be purchased under this Invitation of Bids.
- 2.3 Government-owned enterprises in the Purchaser's country may participate only if they are legally and financially autonomous, if they operate under commercial law, and if they are not a dependent agency of the Purchaser.
- 2.4 Bidders shall not be under a declaration of ineligibility for corrupt and fraudulent practices issued by the Bank in accordance with ITB Clause 36.1.

### 3. Eligible Goods and Services

- 3.1 All goods and ancillary services to be supplied under the Contract shall have their origin in eligible source countries, defined in the *IDA Guidelines for Procurement* and all expenditures made under the Contract will be limited to such goods and services.
- 3.2 For purposes of this clause, "origin" means the place where the goods are mined, grown, or produced or from



which the ancillary services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembling of components, a commercial recognized product results that is substantially different in basic characteristics or in purpose or utility from its components.

3.3 The origin of goods and services is distinct from the nationality of the Bidder.

#### 4 Cost

##### **Bidding**

4.1 The Bidder shall bear all costs associated with the preparation and submission of its bid, and **The Nodal Officer Procurement, TEQIP-III, Uttarakhand Technical University, Uttarakhand**, here-in-after referred to as "the Purchaser", will in no case be responsible or liable for these costs, regardless of the conduct or outcome of the bidding process.

## **B. The Bidding Documents**

### **5. Content of Bidding Documents**

5.1 The goods required, bidding procedures and contract terms are prescribed in the bidding Documents. In addition to the Invitation for Bids, the bidding documents include:

- (a) Instruction to Bidders (ITB) ;
- (b) General Conditions of Contract (GCC) ;
- (c) Special Conditions of Contract (SCC) ;
- (d) Schedule of Requirements;
- (e) Technical Specifications;
- (f) Bid Form and Price Schedules;
- (g) Bid Security Form;
- (h) Contract Form;
- (i) Performance Security Form;
- (j) Performance Statement Form;
- (k) Manufacturer's Authorization Form;
- (l) Bank Guarantee for Advance Payment Form; and
- (m) Equipment and Quality Control Form.

5.2 The Bidder is expected to examine all instructions, forms, terms, and specifications in the bidding documents. Failure to furnish all information required by the bidding documents or submission of a bid not substantially responsive to the bidding documents in every respect will be at the Bidder's risk and may result in rejection of its bid.

### **6. Clarification of Bidding Documents**

6.1 A prospective Bidder requiring any clarification of the bidding documents may notify the Purchaser in writing or by telex or cable or fax at the Purchaser's mailing address indicated in the Invitation for Bids. The Purchaser will respond in writing to any request for clarification of the bidding documents which it receives no later than 15 days prior to the deadline for submission of bids prescribed by the Purchaser. Written copies of the Purchaser's response (including an explanation of the query but without identifying the source of inquiry) will be sent to all prospective bidders which have received the bidding documents.

### **7. Amendment of Bidding Documents**

7.1 At any time prior to the deadline for submission of bids, the Purchaser may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective bidder, Modify the bidding documents by amendment.

7.2 All prospective bidders who have received the bidding documents will be notified of the

Amendment in writing or by cable or by fax, and will be binding on them.

- 7.3 In order to allow prospective bidders reasonable time in which to take the amendment into account in preparing their bids, the Purchaser, at its discretion, may extend the deadline for the submission of bids.

## C. Preparation of Bids

### **8. Language of Bid**

- 8.1 The bid prepared by the Bidder, as well as all correspondence and documents relating to the bid exchanged by the Bidder and the Purchaser, shall be written in English language. Supporting documents and printed literature furnished by the Bidder may be in another language provided they are accompanied by an accurate translation of the relevant passages in the English language in which case, for purposes of interpretation of the Bid, the translation shall govern.

### **9. Documents Constituting the Bid**

- 9.1 The bid prepared by the Bidder shall comprise the following components:
- (a) a Bid Form and a Price Schedule completed in accordance with ITB Clauses 10, 11 and 12;
  - (b) documentary evidence established in accordance with ITB Clause 13 that the Bidder is eligible to bid and is qualified to perform the contract if its bid is accepted;
  - (c) documentary evidence established in accordance with ITB Clause 14 that the goods and ancillary services to be supplied by the Bidder are eligible goods and services and conform to the bidding documents; and
  - (d) bid security furnished in accordance with ITB Clause 15.

### **10. Bid Form**

- 10.1 The Bidder shall complete the Bid Form and the appropriate Price Schedule furnished in the bidding documents, indicating the goods to be supplied, a brief description of the goods, their country of origin, quantity and prices.

### **11. Bid Prices**

- 11.1 The Bidder shall indicate on the Price Schedule the unit prices and total bid prices of the goods it proposes to supply under the Contract. To this end, the Bidders are allowed the option to submit the bids for any one or more schedules specified in the 'Schedule of Requirements' and to offer discounts for combined schedules. However, Bidders shall quote for the complete requirement of goods and services specified under each schedule on a single responsibility basis, failing which such bids will not be taken into account for evaluation and will not be considered for award.
11. Prices indicated on the Price Schedule shall be entered separately in the following manner:
- (i) the price of the goods, quoted (ex-works, ex-factory, ex-showroom, ex-warehouse, or off-the-shelf, as applicable), including all duties and sales and other taxes already paid or payable:
    - a. on components and raw material used in the manufacture or assembly of goods quoted ex-works or ex-factory; or
    - b. on the previously imported goods of foreign origin quoted ex-showroom, ex-warehouse or off-the-shelf.
  - (ii) any Indian duties, sales and other taxes which will be payable on the goods if this Contract is awarded;

- (iii) the price for inland transportation, insurance and other local costs incidental to delivery of the goods to their final destination; and the price of other incidental services listed in Clause 8 of the Special Conditions of Contract.

11.3 The Bidder's separation of the price components in accordance with ITB Clause 11.2 above will be solely for the purpose of facilitating the comparison of bids by the Purchaser and will not in any way limit the Purchaser's right to contract on any of the terms offered.

11.4 Fixed Price. Prices quoted by the Bidder shall be fixed during the Bidder's performance of the Contract and not subject to variation on any account. A bid submitted with an adjustable price Quotation will be treated as non-responsive and rejected, pursuant to ITB Clause 24.

## **12. Bid Currencies**

12.1 Prices shall be quoted in Indian Rupees:

## **13. Documents Establishing Bidder's Eligibility and Qualifications**

13.1 Pursuant to ITB Clause 9, the Bidder shall furnish, as part of its bid, documents establishing the Bidder's eligibility to bid and its qualifications to perform the Contract if its bid is accepted.

13.2 The documentary evidence of the Bidder's eligibility to bid shall establish to the Purchaser's satisfaction that the Bidder, at the time of submission of its bid, is from an eligible country as defined under ITB Clause 2.

13.3 The documentary evidence of the Bidder's qualifications to perform the Contract if its bid is accepted, shall establish to the Purchaser's satisfaction:

- (a) that, in the case of a Bidder offering to supply goods under the contract which the Bidder did not manufacture or otherwise produce, the Bidder has been duly authorized (as per authorization form in Section XII) by the goods' Manufacturer or producer to supply the goods in India.

*[Note: Supplies for any particular item in each schedule of the bid should be from one manufacturer only. Bids from agents offering supplies from different manufacturer's for the same item of the schedule in the bid will be treated as non-responsive.]*

- (b) that the Bidder has the financial, technical, and production capability necessary to perform the Contract and meets the criteria outlined in the Qualification requirements specified in Section VI-A. To this end, all bids submitted shall include the following information:
  - (i) The legal status, place of registration and principal place of business of the company or firm or partnership, etc.;
  - (ii) Details of experience and past performance of the bidder on equipment offered and on those of similar nature within the past three years and details of current contracts in hand and other commitments (suggested performance given in Section XI);

## **14. Documents Establishing Goods' Eligibility and Conformity to Bidding Documents**

14.1 Pursuant to ITB Clause 9, the Bidder shall furnish, as part of its bid, documents establishing the eligibility and conformity to the bidding documents of all goods and services which the

Bidder proposes to supply under the contract.

- 14.2 The documentary evidence of the goods and services eligibility shall consist of a statement in the Price Schedule on the country of origin of the goods and services offered which shall be confirmed by a certificate of origin at the time of shipment.
- 14.3 The documentary evidence of conformity of the goods and services to the bidding documents may be in the form of literature, drawings and data, and shall consist of :
- (a) a detailed description of the essential technical and performance characteristics of the goods ;
  - (b) a list giving full particulars, including available sources and current prices, of spare parts, special tools, etc., necessary for the proper and continuing functioning of the goods for a period of two years, following commencement of the use of the goods by the Purchaser; and
  - (c) an item-by-item commentary on the Purchaser's Technical Specifications demonstrating substantial responsiveness of the goods and services to those specifications or a statement of deviations and exceptions to the provisions of the Technical Specifications.
- 14.4 For purposes of the commentary to be furnished pursuant to ITB Clause 14.3(c) above, the Bidder shall note that standards for workmanship, material and equipment, and references to brand names or catalogue numbers designated by the Purchaser in its Technical Specifications are intended to be descriptive only and not restrictive. The Bidder may substitute alternative standards, brand names and/or catalogue numbers in its bid, provided that it demonstrates to the Purchaser's satisfaction that the substitutions ensure substantial Equivalence to those designated in the Technical Specifications.

## **15. Bid Security**

- 15.1 Pursuant to ITB Clause 9, the Bidder shall furnish, as part of its bid, a bid security in the Amount as specified in Section-V - Schedule of Requirements.
- 15.2 The bid security is required to protect the Purchaser against the risk of Bidder's conduct which would warrant the security's forfeiture, pursuant to ITB Clause 15.7.

The bid security shall be denominated in Indian Rupees and shall:

- (a) at the bidder's option, be in the form of either a certified check, letter of credit, a demand draft, or a bank guarantee from a nationalized/Scheduled Bank located in India or by a reputable banking institution selected by the bidder and located abroad in any eligible country;
- (b) be substantially in accordance with one of the form of bid security included in Section VIII or other form approved by the Purchaser prior to bid submission;
- (c) be payable promptly upon written demand by the Purchaser in case any of the conditions listed in ITB Clause 15.7 are invoked;
- (d) be submitted in its original form; copies will not be accepted; and
- (e) remain valid for a period of 45 days beyond the original validity period of bids, or beyond any period of extension subsequently requested under ITB Clause 16.2.

- 15.3 Any bid not secured in accordance with ITB Clauses 15.1 and 15.3 above will be rejected by the Purchaser as non-responsive, pursuant to ITB Clause 24.
- 15.4 Unsuccessful bidder's bid securities will be discharged/returned as promptly as possible but not Later than 30 days after the expiration of the period of bid validity prescribed by the Purchaser, pursuant to ITB Clause 16.
- 15.5 The successful Bidder's bid security will be discharged upon the Bidder signing the Contract, pursuant to ITB Clause 34, and furnishing the performance security, pursuant to ITB Clause 35.
- 15.7 The bid security may be forfeited:
- (a) if a Bidder
    - (i) withdraws its bid during the period of bid validity specified by the Bidder on the Bid Form; or
    - (ii) does not accept the correction of errors pursuant to ITB Clause 24.2; or
  - (b) in case of a successful Bidder, if the Bidder fails:
    - (i) to sign the Contract in accordance with ITB Clause 34; or
    - (ii) to furnish performance security in accordance with ITB Clause 35.

## **16. Period of Validity of Bids**

- 16.1 Bids shall remain valid for 90 days after the deadline for submission of bids prescribed by the Purchaser, pursuant to ITB Clause 19. A bid valid for a shorter period shall be rejected by the Purchaser as non-responsive.
- 16.2 In the case of fixed prices contracts, in the event that the Purchaser requests and the Bidder agrees to an extension of the validity period, the contract price, if the Bidder is selected for award shall be the bid price corrected as follows :
- The price shall be increased by the factor (B =10 % per Annum) for each week or part of a week that has elapsed from the expiration of the initial bid validity to the date of notification of award to the successful Bidder.
- 16.3 In the case of fixed prices contracts, in the event that the Purchaser requests and the Bidder agrees to an extension of the validity period, the contract price, if the Bidder is selected for award shall be the bid price corrected as follows :
- The price shall be increased by the factor (B =10 % per Annum) for each week or part of a week that has elapsed from the expiration of the initial bid validity to the date of notification of award to the successful Bidder.
- Bid evaluation will be based on the bid prices without taking into consideration the corrections.

## **17. Format and Signing of Bid**

- 17.1 The Bidder shall prepare two copies of the bid, clearly marking each "Original Bid" and "Copy Bid", as appropriate. In the event of any discrepancy between them, the original shall govern.
- 17.2 The original and all copies of the bid shall be typed or written in indelible ink and shall be signed

the Bidder or a person or persons duly authorized to bind the Bidder to the Contract. The latter authorization shall be indicated by written power-of-attorney accompanying the bid. All pages of the bid, except for unamended printed literature, shall be initialed by the person or persons signing the bid.

17.3 Any interlineations, erasures or overwriting shall be valid only if they are initialed by the persons or persons signing the bid.

17.4 The Bidder shall furnish information as described in the Form of Bid on commissions or gratuities, if any, paid or to be paid to agents relating to this Bid, and to contract execution if the Bidder is awarded the contract.



## **D. Submission of Bids**

### **18. Sealing and Marking of Bids**

- 18.1 The Bidders shall seal the original and each copy of the bid in separate inner envelopes, duly marking the envelopes as "original" and "copy". He shall then place all the inner envelopes in an outer envelope.
- 18.2 The inner and outer envelopes shall:
- (a) be addressed to the Purchaser at the following address:  
**Nodal Officer Procurement , Uttarakhand Technical  
University, Uttarakhand, Sudhowala PO Chandanwari,  
Dehradun, 248007, Uttarakhand**
- (b) bear the Project Name, Technical Education Quality Improvement Programme[TEQIP]-Phase III the Invitation for Bids(IFB) title and number **TEQIP-III/UK/utuu/15** and a statement "Do not open before **13:00Hrs** on *17-December-2019*."
- 18.3 The inner envelopes shall also indicate the name and address of the Bidder to enable the bid to be returned unopened in case it is declared "late".
- 18.4 If the outer envelope is not sealed and marked as required by ITB Clause 18.2, the Purchaser will assume no responsibility for the bid's misplacement or premature opening.
- 18.5 Telex, cable or facsimile bids will be rejected.

### **19. Deadline for Submission of Bids**

- 19.1** Bids must be received by the Purchaser at the address specified under ITB Clause 18.2
- (a) no later than the time and date specified in the Invitation for Bids (Section I). In the event of the specified date for the submission of Bids being declared a holiday for the Purchaser, the Bids will be received upto the appointed time on the next working day.
- 19.2** The Purchaser may, at its discretion, extend this deadline for submission of bids by amending the bid documents in accordance with ITB Clause 7, in which case all rights and obligations of the Purchaser and Bidders previously subject to the deadline will thereafter be subject to the deadline as extended.

### **20. Late Bids**

- 20.1 Any bid received by the Purchaser after the deadline for submission of bids prescribed by the Purchaser, pursuant to ITB Clause 19, will be rejected and/or returned unopened to the Bidder.

### **21. Modification and Withdrawal of Bids**

- 21.1 The Bidder may modify or withdraw its bid after the bid's submission, provided that written notice of the modification or withdrawal is received by the Purchaser prior to the deadline prescribed for submission of bids.
- 21.2 The Bidder's modification or withdrawal notice shall be prepared, sealed, marked and dispatched in accordance with the provisions of ITB Clause 18. A withdrawal notice may also be sent by telex or cable or fax but followed by a signed confirmation copy, post marked not later than the deadline for submission of bids.
- 21.3 No bid may be modified subsequent to the deadline for submission of bids.
- 21.4 No bid may be withdrawn in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the Bidder on the bid form. Withdrawal of a bid during this interval may result in the Bidder's forfeiture of its bid security, pursuant to ITB Clause 15.7.

## **E. Bid Opening and Evaluation of Bids**

### **22. Opening of Bids by the Purchaser**

22.1 The Purchaser will open all bids, in the presence of Bidders' representatives who choose to attend, **13:00 Hrs** on *17-December-2019* and in the following location: **TEQIP-III University Cell, Uttarakhand Technical University, Dehradun.**

The Bidders' representatives who are present shall sign a register evidencing their attendance. In the event of the specified date of Bid opening being declared a holiday for the Purchaser, the Bids shall be opened at the appointed time and location on the next working day.

22.2 The bidders' names, bid modifications or withdrawals, bid prices, discounts, and the presence or absence of requisite bid security and such other details as the Purchaser, at its discretion may consider appropriate, will be announced at the opening. No bid shall be rejected at bid opening except for late bids, which shall be returned unopened to the Bidder pursuant to ITB Clause 20.

22.3 Bids (and modifications sent pursuant to ITB Clause 21.2) that are not opened and read out at bid opening shall not be considered further for evaluation, irrespective of the circumstances.

22.4 The Purchaser will prepare minutes of the bid opening.

### **23. Clarification of Bids**

23.1 During evaluation of bids, the Purchaser may, at its discretion, ask the Bidder for a clarification of its bid. The request for clarification and the response shall be in writing and no change in prices or substance of the bid shall be sought, offered or permitted.

### **24. Preliminary Examination**

24.1 The Purchaser will examine the bids to determine whether they are complete, whether any computational errors have been made, whether required sureties have been furnished, whether the documents have been properly signed, and whether the bids are generally in order. Bids from Agents, without proper authorization from the manufacturer as per Section XII, shall be treated as non-responsive.

24.2 Where the Bidder has quoted for more than one schedule, if the bid security furnished is inadequate for all the schedules, the Purchaser shall take the price bid into account only to the extent the bid is secured. For this purpose, the extent to which the bid is secured shall be determined by evaluating the requirement of bid security to be furnished for the schedule included in the bid (offer) in the serial order of the Schedule of Requirements of the Bidding documents.

- 24.3 Arithmetical errors will be rectified on the following basis. If there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail, and the total price shall be corrected. If there is a discrepancy between words and figures, the amount in words will prevail. If the supplier does not accept the correction of errors, its bid will be rejected and its bid security may be forfeited.
- 24.4 The Purchaser may waive any minor informality or non-conformity or irregularity in a bid which does not constitute a material deviation, provided such a waiver does not prejudice or affect the relative ranking of any Bidder.
- 24.5 Prior to the detailed evaluation, pursuant to ITB Clause 26, the Purchaser will determine the substantial responsiveness of each bid to the bidding documents. For purposes of these Clauses, a substantially responsive bid is one which conforms to all the terms and conditions of the bidding documents without material deviations.

Deviations from or objections or reservations to critical provisions such as those concerning Performance Security (GCC Clause 7), Warranty (GCC Clause 15), Force Majeure (GCC Clause 25), Limitation of liability (GCC Clause 29), Applicable law (GCC Clause 31), and Taxes & Duties (GCC Clause 33) will be deemed to be a material deviation. The Purchaser's determination of a bid's responsiveness is to be based on the contents of the bid itself without recourse to extrinsic evidence.

- 24.6 If a bid is not substantially responsive, it will be rejected by the Purchaser and may not subsequently be made responsive by the Bidder by correction of the non-conformity.

## 25. NA

## 26. Evaluation and Comparison of Bids

26. The Purchaser will evaluate and compare the bids which have been determined to be substantially responsive, pursuant to ITB Clause 24 for each schedule separately. No bid will be considered if the complete requirements covered in the schedule is not included in the bid.

However, as stated in Para 11, Bidders are allowed the option to bid for any one or more schedules and to offer discounts for combined schedules. These discounts will be taken into account in the evaluation of the bids so as to determine the bid or combination of bids offering the lowest evaluated cost for the Purchaser in deciding award(s) for each schedule.

**Bid evaluation process will be as follows:**

- a) The prospective Bidder(s) must comply with general qualifications mentioned in Section VI-A. In case the Bidder(s) do not fulfil the eligibility criteria, their bids will be rejected and shall not be considered for further evaluation.
- b) The Bidder(s) will be evaluated on QCBS (Quality cum Cost Basis Selection) System. There will be 60% weightage for Technical Bid and 40% for Commercial Bid.
- c) A maximum of 100 marks will be allocated for the Technical Bid. Out of these 100 marks, 40 marks shall be awarded based on the technical capabilities of the Bidder(s) according to the following evaluation matrix.

S.No.	Criteria	Weightage	Maximum Score
1.	Previous experience in ERP / MIS implementation in Indian Universities	1 mark for each University, subject to a maximum of 10 marks	10
2.	Financial stability	Average annual turnover of ₹ 20 – 50 Crore: 5 marks	10
		Average annual turnover of more than ₹ 50 Crore: 10 marks	
3.	Software development maturity	The OEM of the application certified at SEI CMMi level 3: 5 marks	10
		The OEM of the application certified at SEI CMMi level 5: 10 marks	
4.	Organizational ownership	Government of India PSUs or Companies registered as start-ups by MSME under Make in India programme: 10 marks	10
		PSUs of any State Government or Union Territory Administration: 5 marks	
		Others: 0 marks	
TOTAL			40

d) The remaining 60 marks will be awarded based on the compliances of the functional specifications asked for in this document. The total marks awarded shall be 60 marks for application compliance and added with the 40 marks as per evaluation matrix given above.

e) The technical proposals only will be subjected for evaluation at this stage. The Bidders scoring less than 60 marks (cut-off score) out of 100 marks in the technical evaluation shall not be shortlisted for next stage of Commercial Bid evaluation process.

f) In case, less than three participating Bidders qualify on technical criteria and reach or exceed the cut-off score of 60, then the University at its sole discretion, may qualify maximum three (total) Bidders on the basis of the top three scores (but not less than 30 marks).

g) The Bidders who are shortlisted based upon technical criteria may be asked, if necessary, to make a presentation

on their solution at the University, at their own cost. The University will finalize appropriate and suitable technologies on the basis of proposed solution. The University in its best interest reserves the right to reject / modify the proposed solution.

h) To enable the technical evaluation, each Bidder is required to furnish the details as per the proforma provided for this purpose.

i) The individual technical scores of the technically qualified Bidders, thereafter, will be normalized as per the formula below:

$$T_n = \left( \frac{T_b}{T_{max}} \right) \times \frac{60}{100}$$

where:

T<sub>n</sub> = Normalized technical score for the Bidder under consideration

T<sub>b</sub> = Absolute technical score for the Bidder under consideration

T<sub>max</sub> = Maximum absolute technical score obtained by any Bidder

j) Financial Bids of those who have technically qualified only shall be opened for further evaluation.

k) The Commercial Offer shall be the sum total of the Gross Price and the AMC / ATC charges for a total project period of 5 years.

l) The commercial scores will be calculated as per formula given below:

$$F_n = \left( \frac{F_{min}}{F_b} \right) \times \frac{40}{100}$$

where:

F<sub>n</sub> = Normalized financial percentage for the Bidder under consideration

F<sub>b</sub> = Evaluated percentage cost of the Bidder under consideration

F<sub>min</sub> = Minimum evaluated percentage cost of any Bidder

m) The overall score will be calculated as per the formula given below:

$$B_n = T_n + F_n$$

where:

B<sub>n</sub> = Overall score of the Bidder under consideration

T<sub>n</sub> = Normalized technical score of the Bidder under consideration

F<sub>n</sub> = Normalized financial score of the Bidder under consideration

n) Final Selection of Bidder will be done based on added individual score achieved by the Bidder in techno-commercial evaluation. The Bidder getting the highest score (B<sub>n</sub>) shall be selected for award of work.

## 27. Contacting the Purchaser

27.1 Subject to ITB Clause 23, no Bidder shall contact the Purchaser on any matter relating to its bid, from the time of the bid opening to the time the Contract is awarded. If the bidder wishes to bring additional information to the notice of the purchaser, it should do so in writing.

27.2 Any effort by a Bidder to influence the Purchaser in its decisions on bid evaluation, bid comparison or contract award may result in rejection of the Bidder's bid

## **F. Award of Contract**

### **28. Post qualification**

- 28.1 In the absence of prequalification, the Purchaser will determine to its satisfaction whether the Bidder that is selected as having submitted the lowest evaluated responsive bid meets the criteria specified in ITB Clause 13.3 (b) and is qualified to perform the contract satisfactorily
- 28.2 The determination will take into account the Bidder's financial, technical and production capabilities. It will be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB Clause 13, as well as such other information as the Purchaser deems necessary and appropriate.
- 28.3 An affirmative determination will be a prerequisite for award of the Contract to the Bidder. A negative determination will result in rejection of the Bidder's bid, in which event the Purchaser will proceed to the next lowest evaluated bid to make a similar determination of that Bidder's capabilities to perform the contract satisfactorily.

### **29. Award Criteria**

- 29.1 Subject to ITB Clause 32, the Purchaser will award the Contract to the successful Bidder whose bid has been determined to be substantially responsive and has been determined as the lowest evaluated bid, provided further that the Bidder is determined to be qualified to perform the Contract satisfactorily.

### **30. Purchaser's right to vary Quantities at Time of Award**

- 30.1 The Purchaser reserves the right at the time of Contract award to increase or decrease by up to 15 percent of the quantity of goods and services originally specified in the Schedule of Requirements without any change in unit price or other terms and conditions.

### **31. Purchaser's Right to Accept Any Bid and to Reject Any or All Bids**

- 31.1 The Purchaser reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to contract award, without thereby incurring any liability to the affected Bidder or bidders.

### **32. Notification of Award**

- 32.1 Prior to the expiration of the period of bid validity, the Purchaser will notify the successful bidder writing by registered letter or by cable/telex or fax, to be confirmed in writing by registered letter, that its bid has been accepted.
- 32.2 The notification of award will constitute the formation of the Contract.

- 32.3 Upon the successful Bidder's furnishing of performance security pursuant to ITB Clause 35, the Purchaser will promptly notify the name of the winning bidder to each unsuccessful Bidder and will discharge its bid security, pursuant to ITB Clause 15.
- 32.4 If, after notification of award, a Bidder wishes to ascertain the grounds on which its bid was not selected, it should address its request to the Purchaser. The Purchaser will promptly respond in writing to the unsuccessful Bidder.

### **33. Signing of Contract**

- 33.1 At the same time as the Purchaser notifies the successful bidder that its bid has been accepted, the Purchaser will send the bidder the Contract Form provided in the bidding documents, incorporating agreements between the parties.
- 33.2 Within 21 days of receipt of the Contract Form, the successful bidder shall sign and date the Contract and return it to the Purchaser.

### **34. Performance Security**

- 34.1 Performance Security 10 % of The Basic Value/Evaluated Cost
- 34.2 Within 21 days of the receipt of notification of award from the Purchaser, the successful Bidder shall furnish the performance security in accordance with the Conditions of Contract, in the Performance Security Form provided in the bidding documents or in another form acceptable to the Purchaser.
- 34.3 Failure of the successful bidder to comply with the requirement of ITB Clause 34.2 or ITB Clause 35.1 shall constitute sufficient grounds for the annulment of the award and forfeiture of the bid security, in which event the Purchaser may make the award to the next lowest evaluated bidder or call for new bids.

### **35. Corrupt or Fraudulent Practices**

- 35.1 It is the Bank's policy that Borrowers (including beneficiaries of Bank loans), as well as Bidders, Suppliers, and Contractors, and their agents (whether declared or not), personnel, subcontractors, sub-consultants, service providers and suppliers under Bank-financed contracts, observe the highest standard of ethics during the procurement and execution of such contracts[1]. In pursuance of this policy, the Bank:
- (a) defines, for the purposes of this provision, the terms set forth below as follows:
- (i) "corrupt practice"[2] means the offering, giving, receiving, or soliciting, directly or indirectly, anything of value to influence the action of a public official in the procurement process or in contract execution;
- (ii) "fraudulent practice"[3] means a misrepresentation or omission of facts in order to influence a procurement process or the execution of a contract;
- (iii) "collusive practice"[4] means a scheme or arrangement between two or more Bidders,



with or without the knowledge of the borrower, designed to establish bid prices at artificial, noncompetitive levels; and

- (iv) “coercive practice”[5] means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract;
- (v) “Obstructive practice” is
- (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and /or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
- (bb) acts intended to materially impede the exercise of the Bank’s inspection and audit rights provided for under sub – clause 36.1 (e) below.
  
- (b) will reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the Contract in question;
- (c) will cancel the portion of the loan allocated to a contract if it determines at any time that representatives of the Borrower or of a beneficiary of the loan engaged in corrupt, fraudulent, collusive or coercive practices during the procurement or the execution of that contract, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur;
  
- (d) will sanction a firm or an individual, at any time, in accordance with prevailing Bank’s sanctions procedures[6], including by publically declaring such firm or individual ineligible, either indefinitely or for a stated period of time, (i) to be awarded a Bank-financed contract; and (ii) to be a nominated[7] subcontractor, consultant, manufacturer or supplier, or service provider of and otherwise eligible firm being awarded a Bank-financed contract; and
  
- (e) will have the right to require that a provision be included in Bidding Documents and in contracts financed by a Bank loan, requiring Bidders, Suppliers, and Contractors and their sub-contractors to permit the Bank to inspect their accounts and records and other documents relating to the bid submission and contract performance and to have them audited by auditors appointed by the Bank.

35.2 Furthermore, Bidders shall be aware of the provision stated in sub-clause 24.1 (c) of the General Conditions of Contract.

### **SECTION III: GENERAL CONDITIONS OF CONTRACT**

### SECTION III: GENERAL CONDITIONS OF CONTRACT

#### TABLE OF CLAUSES

Clause Number	Topic	Page No.
1	Definitions	29
2	Application	29
3	Country of Origin	29
4	Standards	30
5	Use of Contract Documents and Information	30
6	Patent Rights	30
7	Performance Security	30
8	Inspection and Tests	31
9	Packing	31
10	Delivery and Documents	32
11	Insurance	32
12	Transportation	32
13	Incidental Services	32
14	Spare Parts	33
15	Warranty	33
16	Payment	34
17	Prices	34
18	Change Orders	34
19	Contract Amendments	35
20	Assignment	35
21	Subcontracts	35
22	Delays in Supplier's Performance	35
23	Liquidated Damages	35
24	Termination for Default	36
25	Force Majeure	36
26	Termination for Insolvency	36
27	Termination for Convenience	37
28	Settlement of Disputes	37
29	Limitation of Liability	38
30	Governing Language	38
31	Applicable Law	38
32	Notices	38
33	Taxes and Duties	38
34	Fraud and Corruption	38

## **General Conditions of Contract**

### **1. Definitions**

1.1 In this Contract, the following terms shall be interpreted as indicated:

- (a) "The Contract" means the agreement entered into between the Purchaser and the Supplier, as recorded in the Contract Form signed by the parties, including all the attachments and appendices thereto and all documents incorporated by reference therein;
- (b) "The Contract Price" means the price payable to the Supplier under the Contract for the full and proper performance of its contractual obligations;
- (c) "The Software" means all the equipment, machinery, and/or other materials which the Supplier is required to supply to the Purchaser under the Contract;
- (d) "Services" means services ancillary to the supply of the Goods, such as transportation and insurance, and any other incidental services, such as installation, commissioning, provision of technical assistance, training and other obligations of the Supplier covered under the Contract;
- (e) "GCC" means the General Conditions of Contract contained in this section.
- (f) "SCC" means the Special Conditions of Contract.
- (g) "The Purchaser" means the organization purchasing the Goods, as named in SCC.
- (h) "The Purchaser's country" is the country named in SCC.
- (i) "The Supplier" means the individual or firm supplying the Goods and Services under this Contract.
- (j) "The World Bank" means the International Bank for Reconstruction and Development (IBRD) or the international Development Association (IDA).
- (k) "The Project Site", where applicable, means the place or places named in SCC.
- (l) "Day" means calendar day.

### **2. Application**

2.1 These General Conditions shall apply to the extent that they are not superseded by provisions in other parts of the Contract.

### **3. Country of Origin**

3.1 All Goods and Services supplied under the Contract shall have their origin in the member countries and territories eligible under the rules of the World Bank as further elaborated in SCC.

3.2 For purposes of this Clause "origin" means the place where the Goods are mined, grown or produced, or from which the Services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembling of components, a commercially recognized new product results that is substantially different in

basic characteristics or in purpose or utility from its components.

3.3 The origin of Goods and Services is distinct from the nationality of the Supplier.

#### **4. Standards**

The Goods supplied under this Contract shall conform to the standards mentioned in the Technical Specifications, and, when no applicable standard is mentioned, to the authoritative standard appropriate to the Goods' country of origin and such standards shall be the latest issued by the concerned institution.

#### **5. Use of Contract Documents and Information**

- 5.1 The Supplier shall not, without the Purchaser's prior written consent, disclose the Contract, or any provision thereof, or any specification, plan, drawing, pattern, sample or information furnished by or on behalf of the Purchaser in connection therewith, to any person other than a person employed by the Supplier in performance of the Contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.
- 5.2 The Supplier shall not, without the Purchaser's prior written consent, make use of any document or information enumerated in GCC Clause 5.1 except for purposes of performing the Contract.
- 5.3 Any document, other than the Contract itself, enumerated in GCC Clause 5.1 shall remain the property of the Purchaser and shall be returned (in all copies) to the Purchaser on completion of the Supplier's performance under the Contract if so required by the Purchaser.

#### **6. Patent Rights**

- 6.1 The Supplier shall indemnify the Purchaser against all third-party claims of infringement of patent trademark or industrial design rights arising from use of the Goods or any part thereof in India.

#### **7. Performance Security**

- 7.1 Within 21 days of receipt of the notification of contract award, the Supplier shall furnish performance security in the amount specified in SCC.
- 7.2 The proceeds of the performance security shall be payable to the Purchaser as compensation for any loss resulting from the Supplier's failure to complete its obligations under the Contract.

The Performance Security shall be denominated in Indian Rupees and shall be in one of the following forms:

- (a) A Bank guarantee or irrevocable Letter of Credit, issued by a nationalized/scheduled bank located in India or a bank located abroad acceptable to the Purchaser, in the form provided in the bidding documents or another form acceptable to the Purchaser; or
- (b) A cashier's check, certified check, or demand draft.

- 7.3 The performance security will be discharged by the Purchaser and returned to the Supplier not later than 30 days following the date of completion of the Supplier's performance obligations, including any warranty obligations, unless specified otherwise in SCC.

## **8. Inspections and Tests**

- 8.1 The Purchaser or its representative shall have the right to inspect and/or to test the Goods to confirm their conformity to the Contract specifications at no extra cost to the Purchaser. SCC and the Technical Specifications shall specify what inspections and tests the Purchaser requires and where they are to be conducted. The Purchaser shall notify the Supplier in writing in a timely manner of the identity of any representatives retained for these purposes.
- 8.2 The inspections and tests may be conducted on the premises of the Supplier or its subcontractor(s), at point of delivery and/or at the Goods final destination. If conducted on the premises of the Supplier or its subcontractor(s), all reasonable facilities and assistance, including access to drawings and production data - shall be furnished to the inspectors at no charge to the Purchaser.
- 8.3 Should any inspected or tested Goods fail to conform to the specifications, the Purchaser may reject the goods and the Supplier shall either replace the rejected Goods or make alterations necessary to meet specification requirements free of cost to the Purchaser.
- 8.4 The Purchaser's right to inspect, test and, where necessary, reject the Goods after the Goods' arrival at Project Site shall in no way be limited or waived by reason of the Goods having previously been inspected, tested and passed by the Purchaser or its representative prior to the Goods shipment.
- 8.5 Nothing in GCC Clause 8 shall in any way release the Supplier from any warranty or other obligations under this Contract.

## **9. Packing**

- 9.1 The Supplier shall provide such packing of the Goods as is required to prevent their damage or deterioration during transit to their final destination as indicated in the Contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit and open storage. Packing case size and weights shall take into consideration, where appropriate, the remoteness of the Goods' final destination and the absence of heavy handling facilities at all points in transit.
- 9.2 The packing, marking and documentation within and outside the packages shall comply strictly with such special requirements as shall be provided for in the Contract including additional requirements, if any, specified in SCC and in any subsequent instructions ordered by the Purchaser.

## **10. Delivery and Documents**

- 10.1 Delivery of the Goods shall be made by the Supplier in accordance with the terms specified by the Purchaser in the Notification of Award. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

## **11. Insurance**

- 11.1 The Goods supplied under the Contract shall be fully insured in Indian Rupees against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in SCC.

## **12. Transportation**

- 12.1 Deleted.
- 12.2 Deleted.
- 12.3 Where the Supplier is required under the Contract to transport the Goods to a specified place of destination within India defined as Project site, transport to such place of destination in India including insurance, as shall be specified in the Contract, shall be arranged by the Supplier, and the related cost shall be included in the Contract Price.
- 12.4 Deleted

## **13. Incidental Services**

- 13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:
- (a) performance or supervision of the on-site assembly and/or start-up of the supplied Goods;
  - (b) furnishing of tools required for assembly and/or maintenance of the supplied Goods;
  - (c) furnishing of detailed operations and maintenance manual for each appropriate unit of supplied Goods;
  - (d) performance or supervision or maintenance and/or repair of the supplied Goods, for a period of time agreed by the parties, provided that this service shall not relieve the Supplier of a warranty obligations under this Contract; an
  - (e) training of the Purchaser's personnel, at the Supplier's plant and/or on-site, in assembly, start-up, operation, maintenance and/or repair of the supplied Goods.
- 13.2 Prices charged by the Supplier for incidental services, if not included in the Contract Price for the Goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the Supplier for similar services.

## **14. Spare Parts**

- 14.1 As specified in the SCC, the Supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the Supplier:
- (a) such spare parts as the Purchaser may elect to purchase from the Supplier, providing that this election shall not relieve the Supplier of any warranty obligations under the Contract; and
  - (b) In the event of termination of production of the spare parts:
    - (i) advance notification to the Purchaser of the pending termination, in sufficient time to permit the Purchaser to procure needed requirements; and

- (ii) following such termination, furnishing at no cost to the Purchaser, the blueprints, drawings and specifications of the spare parts, if requested.

## **15. Warranty**

- 15.1 The Supplier warrants that the Goods supplied under this Contract are new, unused, of the most recent or current models and that they incorporate all recent improvements in design and materials unless provided otherwise in the Contract. The Supplier further warrants that all Goods supplied under this Contract shall have no defect arising from design, materials workmanship (except when the design and/or material is required by the Purchaser's Specifications) or from any act or omission of the Supplier, that may develop under normal use of the supplied Goods in the conditions prevailing in the country of final destination.
- 15.2 This warranty shall remain valid for 12 months after the Goods or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the Contract, or for 18 months after the date of shipment from the place of loading whichever period concludes earlier, unless specified otherwise in the SCC.
- 15.3 The Purchaser shall promptly notify the Supplier in writing of any claims arising under this warranty.
- 15.4 Upon receipt of such notice, the Supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective Goods or parts thereof, without cost to the Purchaser other than, where applicable, the cost of inland delivery of the repaired or replaced Goods or parts from ex-works or ex-factory or ex-showroom to the final destination.
- 15.5 If the Supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the Purchaser may proceed to take such remedial action as may be necessary, at the Supplier's risk and expense and without prejudice to any other rights which the Purchaser may have against the Supplier under the Contract.

## **16. Payment**

- 16.1 The method and conditions of payment to be made to the Supplier under this Contract shall be specified in the SCC.
- 16.2 The Supplier's request(s) for payment shall be made to the Purchaser in writing, accompanied by an invoice describing, as appropriate, the Goods delivered and the Services performed, and by documents, submitted pursuant to GCC Clause 10, and up on fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the Purchaser but in no case later than sixty (60) days after submission of the invoice or claim by the Supplier.
- 16.4 Payment shall be made in Indian Rupees.



## **17. Prices**

17.1 Prices charged by the Supplier for Goods delivered and Services performed under the Contract shall not vary from the prices quoted by the Supplier in its bid, with the exception of any price adjustments authorized in SCC or in the Purchaser's request for bid validity extension, as the case may be.

## **18. Change Orders**

18.1 The Purchaser may at any time, by written order given to the Supplier pursuant to GCC Clause 31, make changes within the general scope of the Contract in any one or more of the following:

- (a) drawings, designs, or specifications, where Goods to be furnished under the Contract are to be specifically manufactured for the Purchaser;
- (b) the method of shipping or packing;
- (c) the place of delivery; and/or
- (d) the Services to be provided by the Supplier.

18.2 If any such change causes an increase or decrease in the cost of, or the time required for, the Supplier's performance of any provisions under the Contract, an equitable adjustment shall be made in the Contract Price or delivery schedule, or both, and the Contract shall accordingly be amended. Any claims by the Supplier for adjustment under this clause must be asserted within thirty (30) days from the date of the Supplier's receipt of the Purchaser's change order.

## **19. Contract Amendments**

19.1 Subject to GCC Clause 18, no variation in or modification of the terms of the Contract shall be made except by written amendment signed by the parties.

## **20. Assignment**

20.1 The Supplier shall not assign, in whole or in part, its obligations to perform under the Contract, except with the Purchaser's prior written consent.

## **21. Subcontracts**

21.1 The Supplier shall notify the Purchaser in writing of all subcontracts awarded under this Contract if not already specified in the bid. Such notification, in his original bid or later, shall not relieve the Supplier from any liability or obligation under the Contract.

21.2 Subcontracts must comply with the provisions of GCC Clause 3.

## **22. Delays in the Supplier's Performance**

22.1 Delivery of the Goods and performance of the Services shall be made by the Supplier in accordance with the time schedule specified by the Purchaser in the Schedule of Requirements.

22.2 If at any time during performance of the Contract, the Supplier or its sub-contractor(s) should encounter conditions impeding timely delivery of the Goods and performance of Services, the Supplier shall promptly notify the Purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the Supplier's notice, the Purchaser shall evaluate the situation and may, at its discretion, extend the Supplier's

time for performance with or without liquidated damages, in which case the extension shall be ratified by the parties by amendment of the Contract.

22.3 Except as provided under GCC Clause 25, a delay by the Supplier in the performance of its delivery obligations shall render the Supplier liable to the imposition of liquidated damages pursuant to GCC Clause 23, unless an extension of time is agreed upon pursuant to GCC Clause 22.2 without the application of liquidated damages.

### **23. Liquidated Damages**

23.1 Subject to GCC Clause 25, if the Supplier fails to deliver any or all of the Goods or to perform the Services within the period(s) specified in the Contract, the Purchaser shall, without prejudice to its other remedies under the Contract, deduct from the Contract Price, as liquidated damages, a sum equivalent to the percentage specified in SCC of the delivered price of the delayed Goods or unperformed Services for each week or part thereof of delay until actual delivery or performance, up to a maximum deduction of the Percentage specified in SCC. Once the maximum is reached, the Purchaser may consider termination of the Contract pursuant to GCC Clause 24.

### **24. Termination for Default**

24.1 The Purchaser may, without prejudice to any other remedy for breach of contract, by written notice of default sent to the Supplier, terminate the Contract in whole or part:

- (a) if the Supplier fails to deliver any or all of the Goods within the period(s) specified in the Contract, or within any extension thereof granted by the Purchaser pursuant to GCC Clause 22; or
- (b) if the Supplier fails to perform any other obligation(s) under the Contract
- (c) if the Supplier, in the judgment of the Purchaser has engaged in fraud and corruption, as defined in GCC Clause 34, in competing for or in executing the Contract.

24.2 In the event the Purchaser terminates the Contract in whole or in part, pursuant to GCC Clause 24.1 the Purchaser may procure, upon such terms and in such manner as it deems appropriate, Goods or Services similar to those undelivered, and the Supplier shall be liable to the Purchaser for any excess costs for such similar Goods or Services. However, the Supplier shall continue the performance of the Contract to the extent not terminated.

### **25. Force Majeure**

25.1 Notwithstanding the provisions of GCC Clauses 22, 23, 24, the Supplier shall not be liable for forfeiture of its performance security, liquidated damages or termination for default, if and to the extent that, its delay in performance or other failure to perform its obligations under the Contract is the result of an event of Force Majeure.

24.2 If a Force Majeure situation arises, the Supplier shall promptly notify the Purchaser in writing of such conditions and the cause thereof. Unless otherwise directed by the Purchaser in writing, the Supplier shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall seek all reasonable alternative means of performance not prevented by the Force Majeure event.

## **26. Termination for Insolvency**

26.1 The Purchaser may at any time terminate the Contract by giving written notice to the Supplier, if the Supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the Supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the Purchaser.

## **27. Termination for Convenience**

27.1 The Purchaser, by written notice sent to the Supplier, may terminate the Contract, in whole or in part, at any time for its convenience. The notice of termination shall specify that termination is for the Purchaser's convenience, the extent to which performance of the Supplier under the Contract is terminated, and the date upon which such termination becomes effective.

27.2 The Goods that are complete and ready for shipment within 30 days after the Supplier's receipt of notice of termination shall be accepted by the Purchaser at the Contract terms and prices. For the remaining Goods, the Purchaser may elect:

- (a) to have any portion completed and delivered at the Contract terms and prices; and/or
- (b) to cancel the remainder and pay to the Supplier an agreed amount for partially completed Goods and for materials and parts previously procured by the Supplier.

## **28. Settlement of Disputes**

28.1 The Purchaser and the supplier shall make every effort to resolve amicably by direct informal negotiation any disagreement or dispute arising between them under or in connection with the Contract.

28.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the Purchaser or the Supplier may give notice to the other party of its intention to commence arbitration, as hereinafter provided, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given

28.2.1 Any dispute or difference in respect of which a notice of intention to commence arbitration has been given in accordance with this Clause shall be finally settled by arbitration. Arbitration may be commenced prior to or after delivery of the Goods under the Contract.

28.2.2 Arbitration proceedings shall be conducted in accordance with the rules of procedure Specified in the SCC.

28.3 Notwithstanding any reference to arbitration herein,

- (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree; and
- (b) the Purchaser shall pay the Supplier any monies due the Supplier.

## **29. Limitation of Liability**

- 29.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6,
- (a) the Supplier shall not be liable to the Purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the Supplier to pay liquidated damages to the Purchaser; and
  - (b) the aggregate liability of the Supplier to the Purchaser, whether under the Contract, in tort or otherwise, shall not exceed the total Contract Price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

## **30. Governing Language**

- 30.1 The contract shall be written in English language. Subject to GCC Clause 30, English language version of the Contract shall govern its interpretation. All correspondence and other documents pertaining to the Contract which are exchanged by the parties shall be written in the same language.

## **31. Applicable Law**

- 31.1 The Contract shall be interpreted in accordance with the laws of the Union of India.

## **32. Notices**

- 32.1 Any notice given by one party to the other pursuant to this Contract shall be sent to other party in writing or by cable, telex or facsimile and confirmed in writing to the other Party's address specified in SCC.
- 32.2 A notice shall be effective when delivered or on the notice's effective date, whichever is later.

## **33. Taxes and Duties**

- 33.1 GST and other levies shall be paid by the University as per applicable rates applicable from time to time production of proof of payment by the firm.
- 33.2 Suppliers shall be entirely responsible for all taxes, duties, license fees, octroi, road permits, etc., incurred until delivery of the contracted Goods to the Purchaser.

## **34. Fraud and Corruption**

- 34.1 It is the Bank's policy that Borrowers (including beneficiaries of Bank loans), as well as Bidders, Suppliers, and Contractors, and their agents (whether declared or not), personnel, subcontractors, sub-consultants, service providers and suppliers under Bank-financed contracts, observe the highest standard of ethics during the procurement and execution of such contracts[8]. In pursuance of this policy, the Bank:
- (a) defines, for the purposes of this provision, the terms set forth below as follows:
    - (i) "corrupt practice"[9] means the offering, giving, receiving, or soliciting, directly or

indirectly, of anything of value to influence the action of a public official in the procurement process or in contract execution;

- (ii) “fraudulent practice”[10] means a misrepresentation or omission of facts in order to influence procurement process or the execution of a contract;
- (iii) “collusive practice”[11] means a scheme or arrangement between two or more Bidders, with or without the knowledge of the borrower, designed to establish bid prices at artificial, noncompetitive levels; and
- (iv) “coercive practice”[12] means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract;
- (v) “Obstructive practice” is
  - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
  - (bb) acts intended to materially impede the exercise of the Bank’s inspection and audit rights provided for under sub – clause 34.1 (e) below.
  - (b) will reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the Contract in question;
    - (a) will cancel the portion of the loan allocated to a contract if it determines at any time that representatives of the Borrower or of a beneficiary of the loan engaged in corrupt, fraudulent, collusive or coercive practices during the procurement or the execution of that contract, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur;
    - (b) will sanction a firm or an individual, at any time, in accordance with prevailing Bank’s sanctions procedures[13], including by publicly declaring such firm or individual ineligible, either indefinitely or for a stated period of time, (i) to be awarded a Bank-financed contract; and (ii) to be a nominated[14] subcontractor, consultant, manufacturer or supplier, or service provider of and otherwise eligible firm being awarded a Bank-financed contract; and
    - (c) will have the right to require that a provision be included in Bidding Documents and in contracts financed by a Bank loan, requiring Bidders, Suppliers, and Contractors and their sub-contractors to permit the Bank to inspect their accounts and records and other documents relating to the bid submission and contract performance and to have them

audited by auditors appointed by the Bank.

34.2 Furthermore, Bidders shall be aware of the provision stated in sub-clause 24.1 (c) of the General Conditions of Contract.

## **SECTION IV: SPECIAL CONDITIONS OF CONTRACT**

## SECTION IV: SPECIAL CONDITIONS OF CONTRACT

### TABLE OF CLAUSES

<b>Item No.</b>	<b>Topic</b>	<b>Page Number</b>
1.	Definitions (GCC Clause 1)	42
2.	Country of Origin (GCC Clause 3)	42
3.	Performance Security (GCC Clause 7)	42
4.	Inspection and Tests (GCC Clause 8)	43
5.	Packing (GCC Clause 9)	43
6.	Delivery and Documents (GCC Clause 10)	43
7.	Insurance (GCC Clause 11)	44
8.	Incidental Services (GCC Clause 13)	44
9.	Spare Parts (GCC Clause 14)	44
10.	Warranty (GCC Clause 15)	45
11.	Payment (GCC Clause 16)	45
12.	Prices (GCC Clause 17)	46
13.	Sub-contracts (GCC Clause 21)	46
14.	Liquidated Damages (GCC Clause 23)	46
15.	Settlement of Disputes (GCC Clause 28)	46
16.	Notices (GCC Clause 32)	47
17.	Progress of Supply	47



## **Special Conditions of Contract**

The following Special Conditions of Contract shall supplement the General Conditions of Contract. Whenever there is a conflict, the provisions herein shall prevail over those in the General Conditions of Contract. The corresponding clause number of the General Conditions is indicated in parentheses.

### **1. Definitions (GCC Clause 1)**

- (a) The Purchaser is **TEQIP-III, Uttarakhand Technical University, Uttarakhand**
- (b) The Supplier is : .....

### **2. Country of Origin (GCC Clause 3)**

All countries and territories as indicated in Section XIV of the bidding documents, "Eligibility for the Provisions of Goods, Works, and Services in Bank-Financed Procurement".

### **3. Performance Security (GCC Clause 7)**

3.1 Within 21 days after the Supplier's receipt of Notification of Award, the Supplier shall furnish Performance Security to the Purchaser for an amount of 5% of the contract value, valid upto 60 days after the date of completion of performance obligations including warranty obligations.

In the event of any correction of defects or replacement of defective material during the warranty period, the warranty for the corrected/replaced material shall be extended to a further period of 12 months and the Performance Bank Guarantee for proportionate value shall be extended 60 days over and above the extended warranty period.

3.2 Substitute Clause 7.3 (b) of the GCC by the following:

A cashier's cheque or banker's certified cheque or crossed demand draft or pay order drawn in favour of Uttarakhand Technical University, Uttarakhand, [Purchaser].

3.3 Substitute Clause 7.4 of the GCC by the following:

The Performance Security will be discharged by the Purchaser and returned to the Supplier not later than 60 days following the date of completion of the Supplier's performance obligations, including the warranty obligation, under the contract.

3.4 Add as Clause 7.5 to the GCC the following:

In the event of any contract amendment, the Supplier shall, within 21 days of receipt of such amendment, furnish the amendment to the Performance Security, rendering the same valid for the duration of the Contract, as amended for 60 days after the completion of performance obligations including warranty obligations.

### **4. Inspection and Tests (GCC Clause 8)**

The following inspection procedures and tests are required by the Purchaser:

- (i) The inspection of the Goods shall be carried out to check whether the Goods are in conformity with the technical specifications attached to the contract and shall be in line with the inspection/test procedures laid down in the Technical Specifications and the General Conditions of contract. Following broad test procedure will generally be followed for inspection and testing of machine.

The supplier will dispatch the goods to the ultimate consignee after internal inspection testing along with the supplier's inspection report and manufacturer's warranty certificate.

The purchase will test the equipment after completion of the installation and commissioning at the site of the installation.

For site preparation, the supplier should furnish all details to the purchaser sufficiently in advance so as to get the works completed before receipt of the equipment.

Complete items/ accessories as specified in Section V should be supplied, installed and commissioned properly by the supplier prior to commencement of performance test.

- (ii) In the event of the equipment's failing to pass the acceptance test, a period not exceeding four weeks will be given to rectify the defects and clear the acceptance test, failing which the purchaser reserves the rights to get the equipment replaced by the supplier at no extra cost to the purchaser.

#### **5. Packing (GCC Clause 9)**

Add as Clause 9.3 of the GCC the following:

Packing Instructions :The Supplier will be required to make separate packages for each Consignee. Each package will be marked on three sides with proper paint/indelible ink, the following:

- (i) Project
- (ii) Contract No.
- (iii) Country of Origin of Goods
- (iv) Supplier's Name, and
- (v) Packing list reference number.

#### **6. Delivery and Documents (GCC Clause 10)**

Upon delivery of the Goods, the supplier shall notify the purchaser and the insurance company by cable/telex/fax the full details of the shipment including contract number, railway receipt number and date, description of goods, quantity, name of the consignee etc. The supplier shall mail the following documents to the purchaser with a copy to the insurance company:

- (i) 4 Copies of the Supplier invoice showing contract number, goods' description, quantity, unit price, total amount;
- (ii) Railway receipt/acknowledgment of receipt of goods from the consignee(s);
- (iii) 4 Copies of packing list identifying the contents of each package;
- (iv) Insurance Certificate ;
- (v) Manufacturer's/Supplier's warranty certificate;
- (iv) Inspection Certificate issued by the nominated inspection agency, and the Supplier's factory inspection report; and
- (iV) Certificate of Origin.

The above documents shall be received by the Purchaser before arrival of the Goods (except where the Goods have been delivered directly to the Consignee with all documents) and, if not received, the Supplier will be responsible for any consequent expenses.

#### **7. Insurance ( GCC Clause 11)**

For delivery of goods at site, the insurance shall be obtained by the Supplier in an amount equal to 110% of the value of the goods from "warehouse to warehouse" (final destinations) on "All Risks" basis including War Risks and Strikes.

## **8. Incidental Services (GCC Clause 13)**

The following services covered under Clause 13 shall be furnished and the cost shall be included in the contract price:

- a. Performance of the onsite assembly, commissioning and start-up of the equipment.
- b. Furnishing the detailed operation and maintenance manuals for each items of the supply at each location.
- c. Training the purchaser personnel at the suppliers office or other facility, in the installation and operation the equipment.
- d. Maintenance and repair of the equipment at each location during the warranty period including supply of all spares. This shall not relieve the supplier of any warranty obligation under this contract.
- e. Maintenance and/or repairs of the supplied goods for a period of three years after the end of warra period. The bidder should indicate the spares and their costs, if any, which are not indicated in the maintenance contracts.

## **9. Spare Parts (GCC Clause 14)**

Add as Clause 14.2 to the GCC the following:

Supplier shall carry sufficient inventories to assure ex-stock supply of consumable spares for the Goods, such as gaskets, plugs, washers, belts etc. Other spare parts and components shall be supplied as promptly as possible but in any case within six months of placement of order.

## **10. Warranty (GCC Clause 15)**

- (i) G.C.C. Clause 15.2:

In partial modification of the provisions, the warranty period shall be 03 years from the date of acceptance of Goods. The Supplier shall, in addition, comply with the performance and/or consumption guarantees specified under the contract. If for reasons attributable to the Supplier, these guarantees are not attained in whole or in part, the Supplier shall at its discretion either:

- (a) make such changes, modifications, and/or additions to the Goods or any part thereof as may be necessary in order to attain the contractual guarantees specified in the Contract at its own cost and expense and to carry out further performance tests in accordance with SCC 4 ;
- (ii) Substitute Clause 15.4 of the GCC by the following:

“Upon receipt of such notice, the Supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, free of cost at the ultimate destination. The Supplier shall take over the replaced parts/goods at the time of their replacement. No claim whatsoever, shall lie on the Purchaser for the replaced parts/ goods thereafter.

In the event of any correction of defects or replacement of defective material during the warranty period, the warranty for the corrected/replaced material shall be extended to a further period of 12 months.”

- (iii) GCC Clauses 15.4 and 15.5:

The period for correction of defects in the warranty period is 20 days.

## **11. Payment (GCC Clause 16)**

Payment for Goods and Services shall be made in Indian Rupees as follows:

11.1. No payment will be made in advance for any supplies under this invitation for bid.

11.2. 50% of the payment due for the COTS licenses shall be released by the university upon successful delivery of equipment / software and raising of relevant invoices thereof.

11.3 Remaining 50% of the payment for the COTS licenses shall be released by the university after successful performance certificate has been issued by the user within one month from the date of raising of relevant invoices thereof.

11.4 The cost of implementation services, including the cost of support for the COTS, the training, data migration cost etc. will be paid based on the completion of the key milestones of the project and acceptance of the deliverables associated with the milestones, by the University.

11.5 The implementation service also includes onsite three months of adoption support after go live declaration.

11.6 At the completion of project preparation phase, 15% of the cost of implementation services will be paid within one month upon raising of relevant invoices thereof.

11.7 35% of the cost of implementation services will be paid after the completion of the blueprint phase within one month upon raising of relevant invoices thereof.

11.8 35% of the cost of implementation services will be paid at the successful completion of the realization phase within one month upon raising of relevant invoices thereof.

11.9 Remaining 15% of the cost of implementation services will be paid after one annual quarter of successful operation.

11.10 The AMC / ATC for the COTS solution for the period after go-live will be paid at the end of each annual semester on post-paid basis.

## **12. Prices (GCC Clause 17)**

12.1 Prices payable to the supplier as stated in the contract shall be firm during the performance of the contract.

## **13. Sub-contracts (GCC Clause 21)**

Add at the end of GCC sub-clause 21.1 the following:

Sub-contract shall be only for bought-out items and sub-assemblies

## **14. Liquidated Damages (GCC Clause 23)**

14.1 For delays in supply, configuration, deployment and maintenance of ERP/MIS in view of the specifications and scope of work defined in this document, liquidated damages or penalty shall be charged at the rate is 0.01 % per week of the contract value and a maximum deduction of 10 % of the contract price can be charged depending upon the gravity of the service. (GCC Clause 23.1)

## **15. Settlement of Disputes (Clause 28)**

The dispute settlement mechanism to be applied pursuant to GCC Clause 28.2.2 shall be as follows:

(a) In case of Dispute or difference arising between the Purchaser and a domestic supplier relating to any matter arising out of or connected with this agreement, such disputes or difference shall be settled in accordance with the Arbitration and Conciliation Act, 1996. The arbitral tribunal shall

consist of 3 arbitrators one each to be appointed by the Purchaser and the Supplier. The third Arbitrator shall be chosen by the two Arbitrators so appointed by the Parties and shall act as Presiding arbitrator. In case of failure of the two arbitrators appointed by the parties to reach upon a consensus within a period of 30 days from the appointment of the arbitrator appointed subsequently, the Presiding Arbitrator shall be appointed by the Indian Council of Arbitration.

- (b) In the case of a dispute with a Foreign Supplier, the dispute shall be settled in accordance with provisions of UNCITRAL (United Nations Commission on International Trade Law) Arbitration Rules. The Arbitral Tribunal shall consist of three Arbitrators one each to be appointed by the Purchaser and the Supplier. The third Arbitrator shall be chosen by the two Arbitrators so appointed by the Parties, and shall act as presiding arbitrator. In case of failure of the two arbitrators appointed by the parties to reach upon a consensus within a period of 30 days from the appointment of the arbitrator appointed subsequently, the Presiding Arbitrator shall be appointed by the Indian Council of Arbitration.
- (c) If one of the parties fails to appoint its arbitrator in pursuance of sub-clause (a) and (b) above, within 30 days after receipt of the notice of the appointment of its arbitrator by the other party, then the Indian Council of Arbitration both in cases of the Foreign supplier as well as Indian supplier, shall appoint the arbitrator. A certified copy of the order of the Indian Council of Arbitration making such an appointment shall be furnished to each of the parties.
- (d) Arbitration proceedings shall be held at India, and the language of the arbitration proceedings and that of all documents and communications between the parties shall be English.
- (e) The decision of the majority of arbitrators shall be final and binding upon both parties. The cost and expenses of Arbitration proceedings will be paid as determined by the arbitral tribunal. However, the expenses incurred by each party in connection with the preparation, presentation etc. of its proceedings as also the fees and expenses paid to the arbitrator appointed by such party or on its behalf shall be borne by each party itself.
- (f) Where the value of the contract is Rs. 10 million and below, the disputes or differences arising shall be referred to the Sole Arbitrator. The Sole Arbitrator should be appointed by agreement between the parties; failing such agreement, by the appointing authority namely the Indian Council of Arbitration.

**16. Notices (Clause 32)**

For the purpose of all notices, the following shall be the address of the Purchaser and Supplier.

**Purchaser: TEQIP-III, Uttarakhand Technical University, Uttarakhand, Sudhowala PO Chandanwari, Dehradun, 248007, Uttarakhand**

Supplier: (To be filled in at the time of Contract signature)

.....  
.....  
.....

**17. Supplier shall regularly intimate progress of supply, in writing, to the Purchaser as under:**

- a. Quantity offered for inspection and date;
- b. Quantity accepted/rejected by inspecting agency and date;
- c. Quantity dispatched/delivered to consignees and date;
- d. Quantity where incidental services have been satisfactorily completed with date;
- e. Quantity where rectification/repair/replacement effected/completed on receipt of any communication from;
- f. Consignee/Purchaser with date
- g. Date of completion of entire Contract including incidental services, if any; and
- h. Date of receipt of entire payments under the Contract

(in case of stage-wise inspection, details required may also be specified).

## **SECTION V: SCHEDULE OF REQUIREMENTS**

## **SCHEDULE OF REQUIREMENTS**

<b>Brief Description</b>	<b>Quantity</b>	<b>Delivery Schedule</b>
UMS software for student, staff and faculty data of on campus module	1	1 month for submitting the blue print. 3 months after the blue print phase is signed off by the University



## **SECTION VI: TECHNICAL SPECIFICATIONS**

Uttarakhand Technical University, Dehradun seeks for a Complete Integrated University Management System (UMS). The Automation solution should include the modules as per below given requirements.

The successful bidder is expected to design, develop, customize, supply, configure, operate, rollout and update, upgrade & maintain a Web based UMS portal for UTU, Dehradun as per the requirement, scope of work, technical specifications and features mentioned herein. Further, the vendor shall install, host, fine tune and run the UMS software on the premises i.e. Data Centre of the university. All the required and associated software except the operating systems are to be provided by the Bidder. The bidders are advised to participate and bid accordingly. No extra money shall be paid for any activity whatsoever in the event of increase in terms of no. of Institutions, Programmes, Schemes, Students, Alumni, Staff and Faculty, etc. Therefore, the UMS design and approach, thus, should be scalable and configurable irrespective of any increase in numbers and change of business requirement.

<b>Sr. No</b>	<b>Item Name</b>	<b>Specifications</b>
1	UMS software for student, staff and faculty data of on campus module	Integrated University Service Portal (e-Governance) Examination Control System and Result Processing Application with printing of desired reports including mark sheet Deployment of Technical Manpower on-Site

### **UNIVERSITY MANAGEMENT SOFTWARE SPECIFICATIONS**

<b>Component I</b>	Integrated University Service Portal (e-Governance)
<b>Component II</b>	Examination Control System and Result Processing Application with printing of all desired reports including mark sheet
<b>Component III</b>	Deployment of Technical Manpower on-Site

## **Component 1. Integrated University Service Portal (e-Governance)**

### **1.1. User Management**

The core of the complete software application and development, activity lies in user management, where in the major activities will be like:

- System must allow the user to create / update / delete user and user profile
- Access to different areas/modules of portal to be role based
- System must allow the user to limit access to cases to specified users or user groups
- System will provide for role-based control for the functionality within the system
- System must allow a user to be a member of more than one group
- Allocation of groups is request based and administrated
- System must allow only admin-users to set up user profiles and allocate users to groups
- System will allow an administrator to stipulate which other users or groups can access cases
- The System must allow changes to security attributes for groups or users (such as access rights, security level, privileges, password allocation and management) to be made only by super-user.
- System will allow the user to those functionalities that he/she is authorized to access
- System will allow the user to regenerate a lost password/reset password with set of hint questions
- System will encrypt the user passwords

### **1.2. Online Affiliation Management System**

- Basic Information of Institution
- Intake Details (affiliation fee calculation on the basis of it).
- Institution placement details.
- Teaching staff details
- Hostel Details.
- Staff Quarter details.
- Library Details.
- AICTE required strength.
- Compliance status.
- Furniture details.
- Summary details.
- Income expenditure details.
- Summary CSW details

- Summary Library-hostel-cadre details
- Summary intake details
- Summary placement details
- Affiliation fee
- Download undertaking
- View affiliation fee
- Room area details
- University seminar details
- Appendix D-03
- Appendix B-01
- Appendix F01-F02
- Appendix B-02(Dev. Fee – S/W Fee)
- Bank A/C Information
- Online / offline affiliation fee submission
- MIS of affiliation

### **1.3. Student Management**

- Student Portal
  - Online Registration of each students on university management service portal
  - Login credentials for each students to access or avail the online service of university
  - Student Profile Management
- Online Services
  - Online Enrollment form enabled with payment Gateway
  - Online Examination Forms enabled with payment Gateway
  - Online Dues Submission & payment enabled with payment Gateway
  - Online Application for Revaluation enabled with payment Gateway
  - Online Application for Degree enabled with payment Gateway
  - Online Application for Transcript enabled with payment Gateway
  - Schedule of examinations
  - Online Availability of scheme and syllabus in the their portal account itself
  - Online generation of Admit card for each examination
  - Academic History from 1st semester to final semester (progressive)
  - Digital Copy of mark sheet

- Latest information related to Sports, Workshops, Events conducted by University on Dashboard
- Online Transaction history
- Online Results published by University
- Important Notices / Circular from University
- Online Help Desk
  - Online Communication with Technical support Team
  - Online Communication with Departments of University
- Online Payment Gateway Integration for above mentioned fee submission services**
- E-mail & SMS alerts on online form / fee submission

#### **1.4. Faculty Management**

- Faculty Registration
- Login Credentials for each faculty to avail the IUMS services
- Faculty Profile Including
  - o Personal Information
  - o Joining Details
  - o Contact Information
  - o Qualification Details
  - o Memberships
  - o Publications
  - o Research papers
  - o Teaching Experience Details
  - o Teaching Subject Details (Even and Odd Semester)
- Facility to upload course wise the e- Learning Resources
- Feeding of Scheme based student attendance (daily/weekly/monthly)
- Online Marks feeding of Sessional / Practical / mid semester marks
- Services to HoD's of each Department**
  - o View Students enrolment form.
  - o View Students Examination Forms

- o Forward Students Examination Form
- o Subject Assignments to the faculties
- o Download Admit Card
  
- o View Student Academic History
  
- o Facility to feeding of Practical/sessional marks
  
- o View Students Payment Information
  
- o Generate Fee Not Paid List for their Department

### **1.5. College Management**

- Online delivery of all latest orders, circulars, Notices, Amendments published by University.
- Examination related services
- View, modification and forwarding of enrollment form.
- Download Admit Card (with student photograph)
- Facility to forward examination form
- View Student Academic History
- Facility to feeding of Practical/sessional marks
- Online Affiliation form.

### **1.6. Attendance Monitoring Management**

- Creation of student attendance charter as per the academic calendar of University
- Subject wise student attendance (daily, weekly, fortnightly or monthly)
- SMS alert due to short attendance
- Attendance performance show in the student portal account.

### **1.7. Career & Placement Management**

- Employer Services**
  - o Apply for Registration online
  - o View Application Status Online
  - o Employer can manage own Account
  - o Search Student Database Form (Registered on Career Portal)
  - o Posting Campus Drive Detail
  - o View Applicant Detail
  - o View and Print Applicant's C.V
  - o Publish News & Events and Notices
- Central Placement Officer (CPO) Services**

- o CPO can manage own Account
- o View, reject and approved Employer Registration Request
- o Posting Campus Drive Detail
- o Verify Campus Drive Details (Posted by Employer/TPO)
- o Search Student Database
- o Publish News & Events, Photos and Notices

**Student Services**

- o Student can manage own Student Account
- o Fill Placement Data Form (Registration Form)
- o Forward Placement data form to TPO (Posting C.V.)
- o Print C.V.
- o View Campus/Placement Drive Details
- o Apply for the jobs

**Training Placement Officer (TPO) Services**

- o TPO can manage own Account
- o Approve/Verify Student Placement Data Form (Registration Form)
- o Search Student Database (Registered on University Portal )
  
- o Posting Campus Drive Detail
  
- o View Applicant Detail
  
- o View and Print Applicant's C.V.
  
- o Publish News & Events, Photos and Notices

**1.8. Alumni Management**

- Alumni Registration
- Verify Alumni Details
- Publish the Alumni details

**1.9. Online Fee Collection Management**

- Online fee payment
  - o Enrolment form Fees
  - o Examination Form Fees
  - o Revaluation Fees
  - o Semester registration Fees

- o Degree or Duplicate degree Fees
- o Dues Fees
- o Hostel / Mess Fees in the form of dues
- o Name correction
- o Transcript fee
- Payment Gateway Integration
  - o Payment Gateway Integration for each of the above mentioned service
  - o Provide Technical and managerial support in PG Integration
  - o Instant amount credit to university account
  - o PG Integration with Multiple Payment Mode Option (Credit Card / Debit Card / Net Banking)
- Features of online fee management
  - o Heads Creation
  - o Sub-Heads Creation
  - o Assign head sub-heads to courses
  - o Create fee structure admission year wise
  - o Assign fees to eligible students
  - o Forward students for fee payment
  - o Online mode of Fee submission
  - o Offline fee payment provision for account section for each of the service
  - o Provision to provide discount on the Fee
  - o Provision to provide pay fees partially
  - o Provision to View students wise various fees at a glance (Online Student Payment Register)
  - o Print Student Fee Receipt
  - o Creation of Dues
  - o Add / Edit Dues
  - o Online Schedule management of fees
  - o Online Late Fee imposing mechanism
  - o Validation with other services ( if fee is not paid student is not able to fill examination form)
- Refund & Reconciliation management
  - o Daily reconciliation of transactions
  - o Refund Generation in T+7 Days
  - o Refund Reporting to University accounts section to refund the amount of double transaction
  - o Provide technical support for refund and reconciliation
- MIS of Fee collection management system
  - o Daily Fee Register (Online / Offline)



- o Head / sub-head wise fee collection o Hostel fee collection report
- o Dues collection report
- o Course wise / semester wise / admission year wise fee collection report
- o Fee not paid list of students with contact details
- o Examination heads Fee Collection

#### **1.10. Research Management**

- o Online MIS reporting tool for institution & Universities
- Compilation of MIS reporting
- Parameter based search for MIS report generation

#### **1.11. Integrated Web Portal Management (Administration)**

Centralized web portal will be developed and all the modules will be linked with the Centralized web portal. Following are the briefs of the features which will be developed on the web portal

- Admissions
- Institutions
- University Teaching Departments
- Student Life
- Faculty
- Learning Resources
- Facilities
- Online Account and Login
- University Notice & Circular orders
- Latest News
- Latest Events
- University Act Rules & Ordinance
- Time table & Syllabus
- Tender
- Photo gallery
- Downloads etc.

#### **1.12. Design & Development of college website/portal (affiliated to the university)**

Individual CMS based website/portal of each college integrated with centralized database of University portal.

- Individual domain of each college
- Departments

- Courses
- Branch & intakes
- Faculty information
- Notice board for student
- Events
- Books & periodicals
- Hostel information
- Placement information
- Sports activities
- Research activities
- Extension highlights
- Excellence & achievements
- Visitors
- Contact details

## **Component 2 – Examination Control & Management system**

### **2.1. Examination Control System**

Design and development of the application Software for the Examination control & management system for the automation of the Examination department and their Pre-Examination and Post Examination Activities based on the rules and ordinances of the university.

The scope of work define in two phase i.e. Pre Exam Activities and Post Exam Activities.

### **2.2. User Management**

- Role Based User Management System
- Add / Edit / Active / In-active Users
- Add / Edit Roles / permissions to Users
- MD-5 Password encryption Mechanism
- Change Password

### **2.3. Pre Examination**

- The system will the examination departments to port the admitted students data into Examination s/w for further processing
- The system allow examination department to generate the enrolment no. based on pre-defined logic of the university
- Generate Students Master Data
- Add / Edit Students Master Data

- Add / Edit Branch Master data
- Add / Edit Subject Master Data
- Add / Edit Min / Max Marks for Subjects
- Add Edit Subject Assignments to Branch and Course
- Open / Close Examination Session
- Edit Student Master Information
- Add / Edit Credit Master
- Add / Edit Grade Master
- Add / Edit Rule Master
- College / Institution Master
- Course / Semester / Branch / Status Wise Examination form Verification
- Generate Examination form Data for Portal
- Upload examination form data on portal
- Add / Edit Exam Center
- Generate Paper Quantity to print the Papers
- Generate RABA
- Generate Admit Card
- View / Download schemes ( course / branch /semester wise)

#### **2.4. Pre-Exam Reports**

- List of Students Admitted (Admission year / course / branch wise)
- List of Course running in UTU
- List of Branches running under various courses
- Admission Year / Gender wise report
- Admission year / Category Wise report
- Admission year / religion wise report
- Admission year wise Enrolled students

#### **2.5. Application s/w for Marks Entry / Porting of Marks Data into ECS**

- Roles Based S/W for marks entry purpose
- Foil / Counter Foil base entry system
- Theory Marks entry
- Session Marks Entry
- Practical Marks Entry

- Revaluation / Retotaling marks entry
- Absentee Entry
- Validate Theory / sessional / practical Miss-Match
- Validate Theory / sessional / practical missing
- Generate Miss-match Report
- Generate Missing Summary
  
- Generate missing detail report
- Generate Absentee report
- Generate Marks with absentee report
- Check Marks Entry Status
- Reset Wrong Entries
- Provision to port marks data into ECS with defined format

## **2.6. Post Examination & Result Processing**

- Mark Candidate with-held
- Mark candidate detained
- Mark candidate remark
- Result Process
  - Course wise
  - Branch Wise
  - Semester Wise
  - Validate Scheme before result process
  - Validate missing / miss-match before result process
  - Result process summary course / semester / branch wise before process the result
  - Subject wise statistics before result process to check the pass percentage
- Mark-Sheet/TR Generation
- Publish Result on University portal
- Generate SMS sheet of Result to send the SMS to the students
- Print Result Tabulation Register
- Print Result Notification
- Apply Revaluation Process (local in ECS)

- Process revaluation as per university ordinance
- Print Revaluation TR
- Publish Revaluation Result on Portal
- Mark-Sheet No. Generation
- Generate Mark-sheets (Course wise / Branch wise / Enrollment Wise) for printing
- Promotion of Students as per the rules and ordinance of eligibility to next semester
- On Screen Promotion Summary
- Provision to rollback promotions
- Generate Serial number for Provisional Degree
- Generate Serial number for provisional migration
- Generate provisional degree
- Generate provisional migration
- Generate Division for Final Semesters
- Upgrade Branch
- Assign UFM Categories
- Assign VC Grace

## **2.7. Post Examination Reports**

- Analytical Reports
  1. Subject wise statistical report before result process
  2. Subject wise statistical report after result process
  3. Theory top 10 Candidates
  4. Merit List
  5. College Branch wise pass & overall percentile etc.
  6. Analysis Report Grade Wise
  7. Analysis Report Subject Wise
  8. Result Summary
  9. Result analysis
  10. Pre-promotion Report
- TR
- Notification
- Scheme
- Apply Revaluation (subject wise)
- Mark-sheet
- Provision Degree
- Provisional Migration
- Degree / diploma Report
- Transcript

## 2.8. Deployment of ECMS S/W

- Deployment of the ECS will be in the premises of the university examination cell
- University is required to setup the infrastructure for the deployment of the ECS
- Provide support to installation and configuration of the ECMS S/W

### Component 3. Deployment of Technical Manpower on-Site

The firm should deploy and support the day-to-day technical activities and computer application based processing of the pre-post examination data to manage the Examination and Result processing of the courses running under the University Ordinance.

The following technical manpower to be deputed under the University by the firm, initially for the project period from the date of starting the project implementation at University Campus

S. No.	Type of Man Power	No. of Post	Work Description
1.	Software Engineer / Report Designer	01	To provide technical support in the Portal Operations, Update Contents, Provide Trainings to staff, Communicate with Technical Team.
2.	Technical Support Executive	01	For handling Calls and Emails queries related to Examination, Confidential, and Academic, Finance and other related department / sections of the university.

#### Others

1. **Email and SMS Services**

The Bulk SMS utility for sending the SMS to the targeted group of people through sender ID

2. **Integration of Bulk Mail Services**

3. **Mail messaging Services:-**

4. **Training and Capacity Building**

- All the users of the UMS application from University and College staff to be trained.

- Twice in a year Training will be provided with no additional cost.
- 40 hrs of training will be provided with no additional cost additional Cost
- Module wise Training will be provided
- Master Trainer to be Trained

#### 5. **Helpdesk Services**

- Dedicated Helpdesk Facility to be developed for the University by placing minimum 01 trained manpower for handling calls and emails of the students at designated number and email Id provided by the university.
  - The training for the help desk officer will be provided by firm technical staff
  - The Help desk officer can communicate directly with the technical team of the firm through an email ID provided for technical support.
  - The firm should develop the FAQ for the students and the help desk officer

#### Important Note:

1. Apart from functionality and features mentioned above, the provisioning of the Operating System, Databases and any other Middleware needed for deploying the proposed solution in totality in the University shall be the responsibility of the vendor. Therefore, the bidders are advised to factor in any such one time or recurring costs associated with the same in their commercial offers in perpetuity.
2. The capability assessment shall be carried out by the university in respect of the L1 bidder. In case, the said bidder is found incapable for the job and work entrusted in terms of readymade software solutions, managerial capabilities or otherwise, the same capability assessment for L2 bidder and so on shall be conducted. The university's decision in this matter shall be absolute, conclusive and binding.
3. The above specifications & scope of work is indicative and based on university's decision/requirement, the same is subject to change till last date of closing of bid submission.
4. The university also reserves the right to modify and change the scope even during the SRS, project implementation and maintenance phase as per its official requirement, expectations and observations raised by the concerned stake holders. In case of change of rule, ordinances, procedure, practices, law, etc. by the university and state or central govt., the firm is supposed to facilitate the suitable changes/obligations in the software with any extra as and when needed.
5. The ERP/MIS code, modules, licenses and software, etc. so supplied, delivered and installed in line with the specification and scope of the university shall be the sole property of UTU, Dehradun after final acceptance by the university. This excludes the source code of the COTS software supplied by the Bidder.
6. The university also reserves the right to use and further modify the ERP/MIS software at its own level as per the requirement of the system after expiry and termination of AMC and support period without paying any amount to the vendor. Moreover, all the Data, content and records stored in the Database/Application of ERP/MIS shall be owned by the university free of cost and the same shall be made available by the firm as and when requested by UTU, Dehradun.
7. During the customization and implementation phase, the vendor is required to provision as many resources as deemed necessary keeping in view the time lines specified in the document. During first year of operation after go-live, vendor is required to depute 02 competent professionals conversant with the product on full time basis. During remaining two

years of operation after the first year, vendor is required to depute 01 competent professional conversant with the product on full time basis.

8. The vendor has to make provisions with regard to any ancillary software like database or any other middle ware. They are advised to factor in the respective costs in their commercial offer. University will not provide any such licenses.

9. Suitable work space shall be provided by the University during the entire duration of the project.

10. The system will be deployed in the University data center and it is centralized. However, it will be accessed over secure connections from other remote sites as well.

11. Users need to be trained by the vendor depending upon their roles & responsibility in the university. After each update, technological refresh and major changes of UMS software during contract period, the vendor shall also provide necessary training and hands on session free of cost.

12. The resources deployed in the University are expected to follow the University timings and University calendar. However, the L1 support provided from remote back office is expected to be made available on 24x7 basis.

13. Only the existing student and alumni records need to be migrated. They will be provided in csv files.

14. As of now no system needs to be integrated. In future if any new systems are deployed, necessary APIs will be provided.

15. The UMS to be implemented shall cover basic information of the staff members of the affiliated colleges also in addition to the staff of the University.. The academic and examination related stuff shall be provisioned for the entire University, including the affiliated colleges



## **SECTION VI-A : QUALIFICATION CRITERIA**

### **(Referred to in Clause 13.3(b) of ITB)**

This NCB is open to all companies from within India, who are eligible to do business in India under relevant Indian laws as in force at the time of bidding and who fulfil the minimum qualification criteria as hereinafter laid down. Bidder's Qualification Criterion: Following qualifications and criteria shall be adopted by the university to securitize and evaluate the technical bids received from the prospective bidders. Bidders who are not meeting any of the below mentioned general, technical or commercial criteria and not furnishing the requisite documents along with their bid shall be disqualified straightway and their bids shall not be considered for award of work.

#### **1.1 GENERAL ELIGIBILITY CRITERIA**

#	Criteria	Documents to be submitted**
1.1.1	The Bidder should be a registered company in India under Companies Act 1956 and must have at least 05 years of prior experience of software development and executing & implementing large and complex projects of similar nature as on the date of RFP/NCB.	Copy of Company Incorporation Certificate along with proof of bidder working in the relevant domain or business should be enclosed as Annexure EG-1
1.1.2	The Bidder should not have been blacklisted/ debarred by the Government of India or their undertakings, any State Governments or their undertakings during last 3 years. In such cases the bid will be summarily rejected and no correspondence in this matter shall be replied by the University.	Undertakings from the Bidder(s) in this regard should be enclosed as EG-2.
1.1.3	The Bidder must have a valid GST and PAN numbers allotted by respective authorities.	Copy of GST Registration Certificate and PAN Card as Annexure EG - 3
1.1.4	The Bidder must either be OEM or an authorized business partners of repute of an OEM as evidenced by relevant documents.	Authorization letter from the OEM or a self declaration of being the OEM thereby should be enclosed Annexure EG - 4.
1.1.5	1.	
1.1.6	The bidder must submit the ISO 9001/CMMI level 3 certification	
1.1.7	The server will be provided by University	
1.1.8	Hardware requirement, electrical will be provided by University	

#### **1.2 TECHNICAL ELIGIBILITY CRITERIA**

#	Criteria	Documents to be submitted**
1.2.1	The proposed solution should have been implemented at	Copy of Work Orders and

	<p>minimum of 1 University of repute during last 5 years</p> <p>The Bidder should have the purchase order as per following details from the repudiated University:</p> <ol style="list-style-type: none"> <li>1. One Purchase order of minimum 50 lakhs</li> </ol> <p style="text-align: center;">OR</p> <ol style="list-style-type: none"> <li>2. Two Purchase order of minimum 25 lakhs</li> </ol>	<p>Completion Certificates from the client should be enclosed as Annexure ET - 1.</p>
1.2.2	<p>The Bidder should be CMMi Level 3 OR ISO 9001:2015 and ISO 27001:2013 certified</p>	<p>Relevant certificates should be enclosed as Annexure ET - 2.</p>
1.2.3	<p>The proposed solution should be a proprietary product developed using open source technologies. OEM should take responsibility of providing regular updates and bug fixes.</p>	<p>Declaration by the OEM to this effect should be enclosed as Annexure ET - 3.</p>
1.2.4	<p>The proposed solution should be a Commercial, Off the Shelf, fully integrated ERP/MIS/UMS system with following ready-to use modules: Admissions, Academics, OBE, Learning Management System, Examination, Placement, Alumni, Research, Hostel, Transport, HRMS, Procurement, Finance &amp; Accounts and Library. Products with bolt-on 3rd Party solutions shall not be accepted</p>	<p>Declaration by the OEM to this effect should be enclosed as Annexure ET - 4</p>
1.2.5	<p>The proposed solution should have available APIs to integrate with external hardware/software systems.</p>	<p>Declaration by the OEM to this effect should be enclosed as Annexure ET - 5</p>
1.2.6	<p>The proposed solution must have Email (Office 365 or any other) and Single Sign-On Tool (Active Directory or any other) Integration.</p>	<p>Letter from at least 01 reputed education Institution/University having done such integration enclosed as Annexure ET - 6</p>
1.2.7	<p>The proposed solution should have been implemented in at least 01 University / College / Education Trust in India during last 5 years having more than 50,000 users including students.</p>	<p>Copy of relevant Work Order and certificate of success implementation should be enclosed as Annexure ET -7.</p>
1.2.8	<p>The proposed solution should have at least 01 implementation project value of more than Rs. 3.00 crores in any University / College / Education Trust in India.</p>	<p>Copy of relevant Work Order and certificate of successful implementation should be enclosed as Annexure ET - 8.</p>

### 1.3 COMMERCIAL ELIGIBILITY CRITERIA

#	Criteria	Documents to be submitted**
1.3.1	The Bidder should have average annual turnover of more than ₹ 10 crores in the last three financial years. However, the said clause of prior turnover is exempted in respect of the Micro and Small enterprises (MSMEs) and startups (duly recognized by GoI) subject to completely meeting the quality, scope, technical specifications and other criteria asked in the NCB.	Copies of the audited balance sheets and profit and loss account for last 3 financial years should be enclosed as Annexure EC-1. In case of bidders falling under the category MSMEs and startups, an undertaking along with copy certificate issued by GoI should be enclosed as the proof.
1.3.2	The Bidder should have been posting profits for last three financial years and should have a net positive worth.	Duly certified and signed statement by the authorized CA be enclosed as EC-2 indicating that the firm is having positive worth.
1.3.3	The Bidder(s) should not be involved in any Bankruptcy filing or for protection from it.	Undertakings from the Bidder(s) in this regard should be enclosed as EC-3.

## **SECTION VII: BID FORM AND PRICE SCHEDULE**

**Note: Please attach all the required documents in the given order with your Technical Bid.**

S No	Description	Supporting Document Attached (Yes/No)	Bid Page No
1.	Bid Covering Letter		
2.	Profile of the Bidder		
3.	Detail about the Tender Document Fee and Bid Security (Earnest Money Deposit)		
4.	Copy of the Certificate of Incorporation along with status of organization ownership should be enclosed as Annexure <b>EG-1</b> .		
5.	Undertakings by the Bidder(s) regarding blacklisting should be enclosed as Annexure <b>EG -2</b> .		
6.	Manufacturer Authorization Certificate from OEM in Case of Channel Partner/ Distributor as per the Format given in Section-XII post should be enclosed as Annexure <b>ET -1</b> .		
7.	Previous experience in ERP / MIS implementation in Indian Universities/Institutes. Copies of relevant purchase Orders be enclosed as Annexure <b>ET-2</b> . The list of all such projects executed must be presented in tabular form along with supporting documents.		

8.	Copy of valid SEI CMMi level 3 or 5.be enclosed as Annexure <b>ET -3</b> .		
9.	Copies of valid VAT/ LST/ CST, Service Tax Registration and PAN number allotted by the respective authorities should be enclosed as Annexure <b>ET -4</b> .		
10.	Copies of the audited balance sheets and profit and loss account for last 3 financial years should be enclosed as Annexure <b>EC-1</b> .		
11.	The Bidder(s) as well as the OEM(s) should not be involved in any Bankruptcy filing or for protection from it. Undertakings from the Bidder(s) as well as the OEM(s) be enclosed as Annexure <b>EC-2</b> .		
12.	Please enclose duly certified and signed statement by the authorized CA as Annexure <b>EC-3</b> indicating that the firm is having positive net worth.		
13.	Bidder's individual response and compliances to Technical specifications mentioned in the Tender		
14.	Duly signed and stamped copy of the Tender Document published on theUTU website.		

(Signature and Stamp)

Name: \_\_\_\_\_

Designation\_\_\_\_\_



**SECTION VII:**  
**BID COVERING LETTER**

Date :.....

Credit/Loan No :.....

IFB No :.....

To

Nodal Officer Procurement,

TEQIP-III, Uttrakhand Technical University,

Dehradun, 248007

Dear Sir/Madam,

Having examined the Bidding Documents including Addenda Nos..... [*insert numbers*], the receipt of which is hereby duly acknowledged, we, the undersigned, offer to supply and deliver.....

(*Description of Goods and Services*) in conformity with the said bidding documents for the sum of .....

(*Total bid amount in words and figures*) or such other sums as may be ascertained in accordance with the Schedule of Prices attached herewith and made part of this bid.

We undertake, if our bid is accepted, to deliver the goods in accordance with the delivery schedule specified in the Schedule of Requirements.

If our bid is accepted, we will obtain the guarantee of a bank in a sum equivalent to ..... percent of the Contract Price for the due performance of the Contract, in the form prescribed by the Purchaser.

We agree to abide by this bid for the Bid validity period specified in Clause 16.1 of the Bid Data Sheet and it shall remain binding upon us and may be accepted at any time before the expiration of that period.

Commissions or gratuities, if any, paid or to be paid by us to agents relating to this Bid, and to contract execution if we are awarded the contract, are listed below :

**Amount Rupees**

**Name and address of agent**

**Purpose of Commission or gratuity**

\_\_\_\_\_

(if none, state "none").

\_\_\_\_\_



Until a formal contract is prepared and executed, this bid, together with your written acceptance thereof and your notification of award, shall constitute a binding Contract between us.

We undertake that, in competing for (and, if the award is made to us, in executing) the above contract, we will strictly observe the laws against fraud and corruption in force in India namely "Prevention of Corruption Act 1988".

We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf will engage in bribery.

We understand that you are not bound to accept the lowest or any bid you may receive.

We clarify/confirm that we comply with the eligibility requirements as per ITB Clause 2 of the bidding documents.

Dated this ..... day of ..... 2019 .....

\_\_\_\_\_  
*(signature)*

\_\_\_\_\_  
*(in the capacity of)*

Duly authorized to sign Bid for and on behalf of

\_\_\_\_\_

**Profile of the Bidder**

S.No.		Detail
1.	Name of the Bidder/Firm	
2.	Address of the Bidder/Firm	
3.	Type of Organization (Govt./PSU/Private/MSME etc.)	
4.	Name of the Authorized Signatory	
5.	Contact No. of the Authorized Signatory	
6.	E-mail address of the authorized Signatory	
7.	Date of Incorporation of Firm	
8.	Sales Tax /Commercial Tax/ CST Nos./Service Tax No.	
9.	PAN No.	
10.	Yearly Turnover of the Firm during last 3 financial years.	2015-2016: _____ 2016-2017: _____ 2017-2018: _____

(Signature and Stamp)

Name: \_\_\_\_\_

Designation \_\_\_\_\_

**Detail about the Tender/Bidding Document Fee  
and  
Bid Security (Earnest Money Deposit)**

Bidding Document Fee of Rs. 2000/- (non-refundable)		
Value		
Mode of Payment		
Demand Draft Number with Date		
Name and Branch of the Bank		

Bid Security (Earnest Money Deposit) of Rs. 6.5 Lacs		
Value		
Mode of Payment		
Demand Draft Number with Date		
Name and Branch of the Bank		

(Signature and Stamp)

Name: \_\_\_\_\_

Designation \_\_\_\_\_

**PRICE SCHEDULE**  
(To be sealed separately in the Envelop)  
**PROFORMA COMMERCIAL BID**

We hereby quote our commercial offer as given below and duly understand that quote on any other format or any other way shall not be evaluated by UTU, Dehradun and will be summarily rejected. The bidders are advised to quote in INR only.

S No	Description of goods as per proposed specifications and scope of work mentioned the document (a)	Gross Base Price in ₹ (b)	GST + other levies, as applicable in ₹ (c)	TOTAL Price to be paid including GST and any other levies in ₹ (b) + (c)
A.	Component 1. Integrated University Service Portal (e-Governance)	1.1. User Management		
		1.2. Online Affiliation Management System		
		1.3. Student Management		
		1.4. Faculty Management		
		1.5. College Management		
		1.6. Attendance Monitoring Management		
		1.7. Career & Placement Management		
		1.8. Alumni Management		
		1.9. Online Fee Collection Management		
		1.10. Research Management		
		1.11. Integrated Web Portal Management (Administration)		
		1.12. Design & Development of college website/portal (affiliated to the university)		
	Component 2 Examination Control & Management system	2.1. Examination Control System		
		2.2. User Management		
2.3. Pre Examination				
2.4. Pre-Exam Reports				
	2.5. Application s/w for Marks Entry / Porting of Marks Data into ECS			
Basic cost of ERP/MIS application software				

		2.6. Post Examination & Result Processing			
		2.7. Post Examination Reports			
		2.8. Deployment of ECMS S/W			
	Component3. Deployment of Technical Manpower on-Site	3. Deployment of Technical Manpower on-Site			
B.	One time cost incurred towards Rollout, Customization and Deployment of ERP/MIS				
C.	AMC and Support for 1 <sup>st</sup> Year				
D.	AMC and Support for 2 <sup>nd</sup> Year				
E.	AMC and Support for 3 <sup>rd</sup> Year				
Grand Total (A + B + C + D + E)					
(i.e. Criterion for Bid Evaluation)					
	AMC and Support charges per year for subsequent engagement by UTU, Dehradun				

We hereby agree to supply the above goods and services in accordance with the technical specifications, scope of work and deliverables mentioned in the Tender/ NCB (Bid Ref. No. TEQIP-III/UK/utuu/15) for a total contract value of Rs. \_\_\_\_\_ (Amount in figures) (Rupees \_\_\_\_\_

\_\_\_\_\_ (Amount in words).

Further, we undertake that the rate offered under this work order shall not change during project life cycle and for any default or delay at the part of the firm, the penalty shall be deducted as per the terms & conditions of the Tender.

(Signature and Stamp)

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Contact No: \_\_\_\_\_

Date: \_\_\_\_\_

Place: \_\_\_\_\_

Designation \_\_\_\_\_  
Contact No: \_\_\_\_\_

Date: \_\_\_\_\_

Place: \_\_\_\_\_

**SECTION VII: BID FORM**

Date :.....

Credit/Loan No :.....

IFB No :.....

TO: (Name and address of purchaser)

Gentlemen and/or Ladies :

Having examined the Bidding Documents including Addenda Nos..... [insert numbers], the receipt of which is hereby duly acknowledged, we, the undersigned, offer to supply and deliver.....  
(Description of Goods and Services) in conformity with the said bidding documents for the sum of .....  
(Total bid amount in words and figures) or such other sums as may be ascertained in accordance with the Schedule of Prices attached herewith and made part of this bid.

We undertake, if our bid is accepted, to deliver the goods in accordance with the delivery schedule specified in the Schedule of Requirements.

If our bid is accepted, we will obtain the guarantee of a bank in a sum equivalent to ..... percent of the Contract Price for the due performance of the Contract, in the form prescribed by the Purchaser.

We agree to abide by this bid for the Bid validity period specified in Clause 16.1 of the Bid Data Sheet and it shall remain binding upon us and may be accepted at any time before the expiration of that period.

Commissions or gratuities, if any, paid or to be paid by us to agents relating to this Bid, and to contract execution if we are awarded the contract, are listed below :

**Amount Rupees**

**Name and address of agent**

**Purpose of Commission or gratuity**

---

(if none, state "none").

Until a formal contract is prepared and executed, this bid, together with your written acceptance thereof and your notification of award, shall constitute a binding Contract between us.

We undertake that, in competing for (and, if the award is made to us, in executing) the above contract, we will strictly observe the laws against fraud and corruption in force in India namely "Prevention of Corruption Act 1988".

We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf will engage in bribery.

We understand that you are not bound to accept the lowest or any bid you may receive.

We clarify/confirm that we comply with the eligibility requirements as per ITB Clause 2 of the bidding documents.

Dated this ..... day of ..... 19 .....

---

*(signature)*

---

*(in the capacity of)*

Duly authorized to sign Bid for and on behalf of

## **SECTION VIII: BID SECURITY FORM**





**SECTION VIII: BID SECURITY FORM**

Whereas .....<sup>1</sup>(*hereinafter called "the Bidder"*) has submitted its bid dated ..... (*date of submission of bid*) for the supply of ..... (*name and/or description of the goods*) (*hereinafter called "the Bid"*).

KNOW ALL PEOPLE by these presents that WE ..... (*name of bank*) of ..... (*name of country*),having our registered office at ..... (*address of bank*) (*hereinafter called "the Bank"*), are bound unto ..... (*name of Purchaser*) (*hereinafter called "the Purchaser"*) in the sum of \_\_\_\_\_ for which payment well and truly to be made to the said Purchaser, the Bank binds itself, its successors, and assigns by these presents. Sealed with the Common Seal of the said Bank this \_\_\_\_ day of \_\_\_\_\_ 20\_\_.

THE CONDITIONS of this obligation are:

1. If the Bidder
  - (a) withdraws its Bid during the period of bid validity specified by the Bidder on the Bid Form; or
  - (b) does not accept the correction of errors in accordance with the ITB; or
2. If the Bidder, having been notified of the acceptance of its bid by the Purchaser during the period of bid validity:
  - (a) fails or refuses to execute the Contract Form if required; or
  - (b) fails or refuses to furnish the performance security, in accordance with the Instruction to Bidders; we undertake to pay the Purchaser up to the above amount upon receipt of its first written demand, without the Purchaser having to substantiate its demand, provided that in its demand the Purchaser will note that the amount claimed by it is due to it, owing to the occurrence of one or both of the two conditions, specifying the occurred condition or conditions.  
This guarantee will remain in force up to and including forty five (45) days after the period of the bid validity, and any demand in respect thereof should reach the Bank not later than the above date.

.....  
**(Signature of the Bank)**

\_\_\_\_\_ <sup>1</sup> *Name of Bidder*

## **SECTION IX: CONTRACT FORM**

## **SECTION IX: CONTRACT FORM**

THIS AGREEMENT made the .....day of....., 20... Between ..... (*Name of purchaser*) of ..... (*Country of Purchaser*) (hereinafter called "the Purchaser") of the one part and ..... (*Name of Supplier*) of ..... (*City and Country of Supplier*) (hereinafter called "the Supplier") of the other part :

WHEREAS the Purchaser is desirous that certain Goods and ancillary services viz., ..... (*Brief Description of Goods and Services*) and has accepted a bid by the Supplier for the supply of those goods and services in the sum of ..... (*Contract Price in Words and Figures*) (hereinafter called "the Contract Price").

NOW THIS AGREEMENT WITNESSETH AS FOLLOWS:

1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Conditions of Contract referred to.
2. The following documents shall be deemed to form and be read and construed as part of this Agreement, viz.:
  - (a) the Bid Form and the Price Schedule submitted by the Bidder;
  - (b) the Schedule of Requirements;
  - (c) the Technical Specifications;
  - (d) the General Conditions of Contract;
  - (e) the Special Conditions of Contract; and
  - (f) the Purchaser's Notification of Award.
3. In consideration of the payments to be made by the Purchaser to the Supplier as hereinafter mentioned, the Supplier hereby covenants with the Purchaser to provide the goods and services and to remedy defects therein in conformity in all respects with the provisions of the Contract.
4. The Purchaser hereby covenants to pay the Supplier in consideration of the provision of the goods and services and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

Brief particulars of the goods and services which shall be supplied/provided by the Supplier are as under:

SL. NO.	BRIEF DESCRIPTION OF GOODS & SERVICES	QUANTITY TO UNIT BE SUPPLIED	Total PRICE	DELIVERY TERMS
---------	---------------------------------------	------------------------------	-------------	----------------

**TOTAL VALUE:**  
**DELIVERY SCHEDULE:**

**IN WITNESS** whereof the parties hereto have caused this Agreement to be executed in accordance with their respective laws the day and year first above written.

Signed, Sealed and Delivered by the  
said ..... (For the Purchaser)  
in the presence of:.....

said ..... (For the Supplier)  
in the presence of:.....

**SECTION X: PERFORMANCE SECURITY FORM**

**SECTION X: PERFORMANCE SECURITY FORM**

To:

.....(Name of Purchaser)

WHEREAS .....(Name of Supplier)

hereinafter called "the Supplier" has undertaken , in pursuance of Contract (Notification of Award) No.....  
dated,..... 20... to supply.....(Description of Goods and Services) hereinaf  
called "the Contract".

AND WHEREAS it has been stipulated by you in the said Contract that the Supplier shall furnish you with a Ba  
Guarantee by a recognized bank for the sum specified therein as security for compliance with the Supplier's performar  
obligations in accordance with the Contract.

AND WHEREAS we have agreed to give the Supplier a Guarantee:

THEREFORE WE hereby affirm that we are Guarantors and responsible to you, on behalf of the Supplier, up to a total  
..... (Amount of the Guarantee in Words and Figures) and we undertake to p  
you, upon your first written demand declaring the Supplier to be in default under the Contract and without cavil  
argument, any sum or sums within the limit of ..... (Amount of Guarantee) as aforesaid, without yc  
needing to prove or to show grounds or reasons for your demand or the sum specified therein.

This guarantee is valid until the .....day of.....20.....

Signature and Seal of Guarantors

.....

Date.....20....

Address:.....

## **SECTION XI: PERFORMANCE STATEMENT**



***Please attach “Proforma for Performance Statement”***

**SECTION XII**

(Please see Clause 13.3(a) of Instructions to Bidders)

**MANUFACTURERS' AUTHORIZATION FORM\***

No. dated

To

Dear Sir:

IFB No.

We\_\_\_\_\_ who are established and reputable manufacturers of \_\_\_\_\_ *(name and description of goods offered)* having factories at *(address of factory)* do hereby authorize M/s \_\_\_\_\_ *(Name and address of Agent)* to submit a bid, and sign the contract with you for the goods manufactured by us against the above IFB.

No company or firm or individual other than M/s \_\_\_\_\_ are authorized to bid, and conclude the contract for the above goods manufactured by us, against this specific IFB. *(This para should be deleted in simple items where manufacturers sell the product through different Stuckists.)*

We hereby extend our full guarantee and warranty as per Clause 15 of the General Conditions of Contract and Clause 10 of the Special Conditions of Contract for the goods and services offered for supply by the above firm against this IFB.

Yours faithfully

(Name)

(Name of manufacturers)

Note:

This letter of authority should be on the letterhead of the manufacturer and should be signed by a person competent and having the power of attorney to legally bind the manufacturer. It should be included by the Bidder in its bid.

\* Modify this format suitably in case where manufacturer's warranty and guarantee are not applicable for the items for which bids are invited.

### **SECTION XIII**

#### **SAMPLE FORM**

#### **BANK GUARANTEE FOR ADVANCE PAYMENT**

To, \_\_\_\_\_ (*name of Purchaser*)  
\_\_\_\_\_ (*address of Purchaser*)  
\_\_\_\_\_ (*name of Contract*)

Gentlemen:

In accordance with the provisions of the Special Conditions of Contract which amends Clause 16 of the General Conditions of Contract (*name and address of Supplier*) (hereinafter called "the supplier") shall deposit with (*name of Purchaser*) bank guarantee to guarantee his proper and faithful performance under the said Clause of the Contract in an amount \_\_\_\_\_ (*amount of guarantee*)\* (*in words*).

We, the (*bank or financial institution*), as instructed by the Supplier, agree unconditionally and irrevocably to guarantee primary obligator and not as Surety merely, the payment to (*name of Purchaser*) on his first demand without whatsoever right of objection on our part and without his first claim to the Supplier, in the amount not exceeding (*amount of guarantee*)\* (*in words*).

We further agree that no change or addition to or other modification of the terms of the Contract to be performed thereunder or of any of the Contract documents which may be made between (*name of Purchaser*) and the Supplier, shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

This guarantee shall remain valid and in full effect from the date of the advance payment received by the Supplier under the contract until \_\_\_\_\_

Yours truly,

Signature and seal :

Name of bank :

financial institution :

Address :

Date :

\* An amount is to be inserted by the bank representing the amount of the Advance Payment.

## **SECTION XIV**

### **Eligibility for the Provision of Goods, Works and Services in Bank-Financed Procurement.**

#### **As of March 2000<sup>1</sup>**

For the information of Borrowers and Bidders, and with reference to paragraph 1.6, footnote 9, of the *Guidelines: Procurement under IBRD Loans and IDA Credits*, dated January 1995 (revised January and August 1996 and September 1997, and January 1999), set forth below is a list of countries from which Bidders, Goods and Services are not eligible to participate in procurement financed by the World Bank or IDA<sup>2</sup>.

- Andorra
- Cuba
- Democratic People's Republic of Korea (North Korea)
- Liechtenstein
- Monaco
- Nauru
- Tuvalu

In addition, Bidders, Goods and Services from other countries or territories may be declared ineligible by a provision in the Bidding Documents if the borrower's country has excluded them by a law, an official regulation, or an act of compliance meeting the requirements of paragraph 1.8 (a) of the *Guidelines: Procurement under IBRD Loans and IDA Credits*.

The Loan/Credit Agreement also prohibits a withdrawal from the Loan / Credit Account for the purpose of any payment to persons or entities, or for any import of goods, if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. At the present time, this prohibition applies to no country.

#### **Notes:**

1. The most current listing of eligible countries can be viewed on the Public Information Center's Web page at: <http://www.worldbank.org/html/pic/PROCURE.html>. A list of firms debarred from participating in World Bank projects is available at: [HYPERLINK](#)

2. Any questions regarding this list should be addressed to the Senior Manager, Procurement Policy and Services Group, Operational Core Services Network, The World Bank

## **ANNEXURE XV**

### **PROFORMA FOR EQUIPMENT AND QUALITY CONTROL EMPLOYED BY THE MANUFACTURER**

BID NO. .... DATE OF OPENING:.....

NAME OF THE BIDDER : .....

(Note : All details should relate to the manufacturer for the items offered for supply)

1. Name & full address of the Manufacturer
2. (a) Telephone & Fax No                      Office/Factory/Works  
(b) Telex No.                                      Office/Factory/Works  
(c) Telegraphic address :
3. Location of the manufacturing factory.
4. Details of Industrial License, wherever required as per statutory regulations.
5. Details of important Plant & Machinery functioning in each dept. (Monographs & description pamphlets be supplied if available).
6. Details of the process of manufacture in the factory.
7. Details & stocks of raw materials held.
8. Production capacity of item(s) quoted for, with the existing Plant & Machinery
  - 8.1 Normal
  - 8.2 Maximum
9. Details of arrangement for quality control of products such as laboratory, testing equipment etc.
10. Details of staff:
  - 10.1 Details of technical supervisory staff in charge of production & quality control.
  - 10.2 Skilled labour employed.
  - 10.3 Unskilled labour employed.
  - 10.4 Maximum No. of workers (skilled & unskilled) employed on any day during the 18 months preceding the date of Tender.
11. Whether Goods are tested to any standard specification? If so, copies of original test certificates should be submitted in triplicate.

12. Are you registered with the Directorate General of Supplies and Disposals, New Delhi 110 001, India? If so, furnish full particulars of registration, period of currency etc. with a copy of the certificate of registration.

.....  
Signature and seal of the Manufacturer

- [1] In this context, any action taken by a bidder, supplier, contractor, or any of its personnel, agents, subcontractors, sub-consultants, service providers, suppliers and/or their employees to influence the procurement process or contract execution for undue advantage is improper.
- [2] “Another party” refers to a public official acting in relation to the procurement process or contract execution. In this context, “public official” includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.
- [3] “Party” refers to a public official; the terms “benefit” and “obligation” relate to the procurement process or contract execution; and the “act or omission” is intended to influence the procurement process or contract execution.
- [4] “Parties” refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non- competitive levels.
- [5] “Party” refers to a participant in the procurement process or contract execution.
- [6] A firm or an individual may be declared ineligible to be awarded a Bank-financed contract upon completion of the Bank’s sanctions proceedings as per its sanctions procedures, including inter alia: (i) temporary suspension in connection with an ongoing sanctions proceeding; (ii) cross-debarment as agreed with other International Financial Institutions, including Multilateral Development Banks; and (iii) the World Bank Group corporate administrative procurement sanctions procedures for fraud and corruption.
- [7] A nominated sub-contractor, consultant, manufacturer or supplier, or service provider (different names are used depending on the particular bidding document) is one which either has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that are accounted for in the evaluation of the bidder’s pre-qualification application or the bid; or (ii) appointed by the Borrower.
- [8] In this context, any action taken by a bidder, supplier, contractor, or any of its personnel, agents, subcontractors, sub-consultants, service providers, suppliers and/or their employees to influence the procurement process or contract execution for undue advantage is improper.
- [9] “Another party” refers to a public official acting in relation to the procurement process or contract execution. In this context, “public official” includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.
- [10] “Party” refers to a public official; the terms “benefit” and “obligation” relate to the procurement process or contract execution; and the “act or omission” is intended to influence the procurement process or contract execution.
- [11] “Parties” refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non- competitive levels.
- [12] “Party” refers to a participant in the procurement process or contract execution.
- [13] A firm or an individual may be declared ineligible to be awarded a Bank-financed contract upon completion of the Bank’s sanctions proceedings as per its sanctions procedures, including inter alia: (i) temporary suspension in connection with an ongoing sanctions proceeding; (ii) cross-debarment as agreed with other International Financial Institutions, including Multilateral Development Banks; and (iii) the World Bank Group corporate administrative procurement sanctions procedures for fraud and corruption.
- [14] A nominated sub-contractor, consultant, manufacturer or supplier, or service provider (different names are used

depending on the particular bidding document) is one which either has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that are accounted for in the evaluation of the bidder's pre-qualification application or the bid; or (ii) appointed by the Borrower.